



**Anderson Localization:  
Multifractality, Symmetries, Topologies,  
and Electron-Electron Interaction**

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# Plan

- Anderson localization: basic properties, field theory
- Wave function multifractality
- Symmetries of disordered systems
- Manifestations of topology in localization theory
- Influence of electron-electron interaction

# Anderson localization



Philip W. Anderson

1958 “Absence of diffusion  
in certain random lattices”

sufficiently strong disorder → quantum localization

→ eigenstates exponentially localized, no diffusion

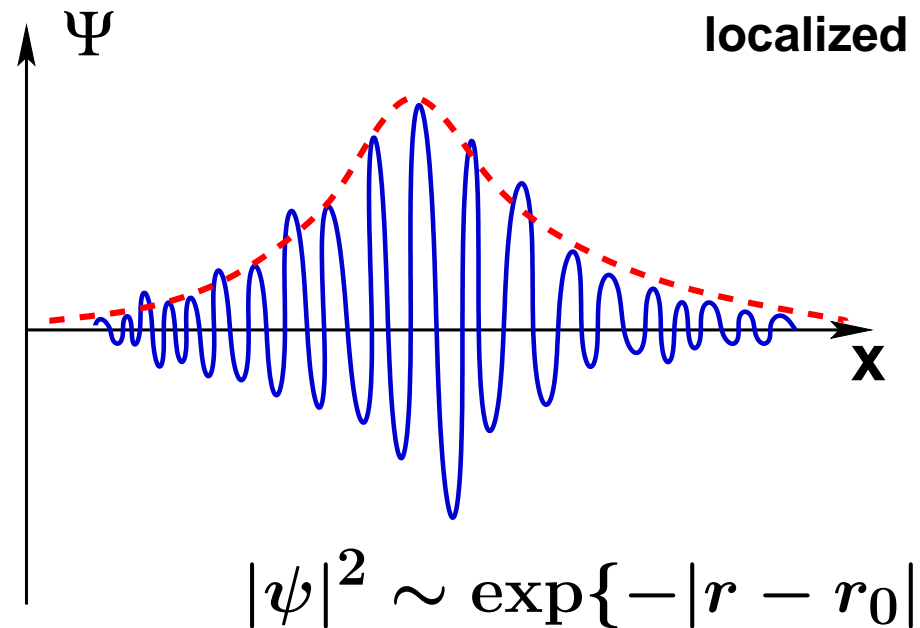
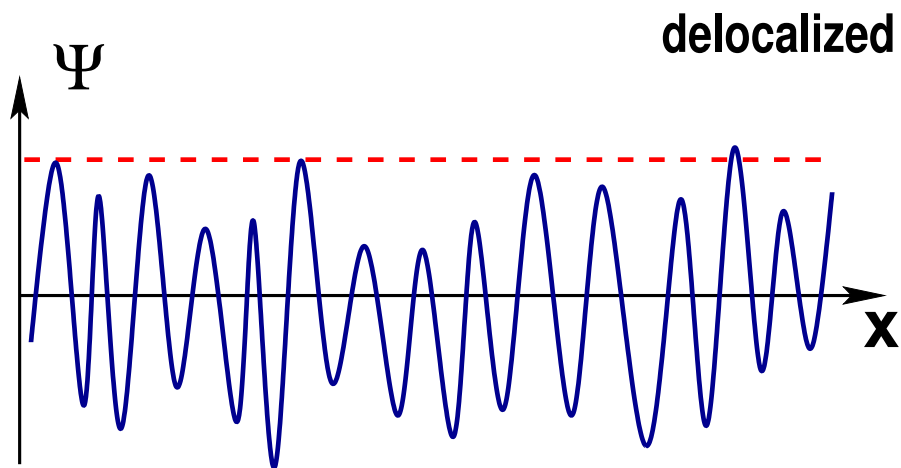
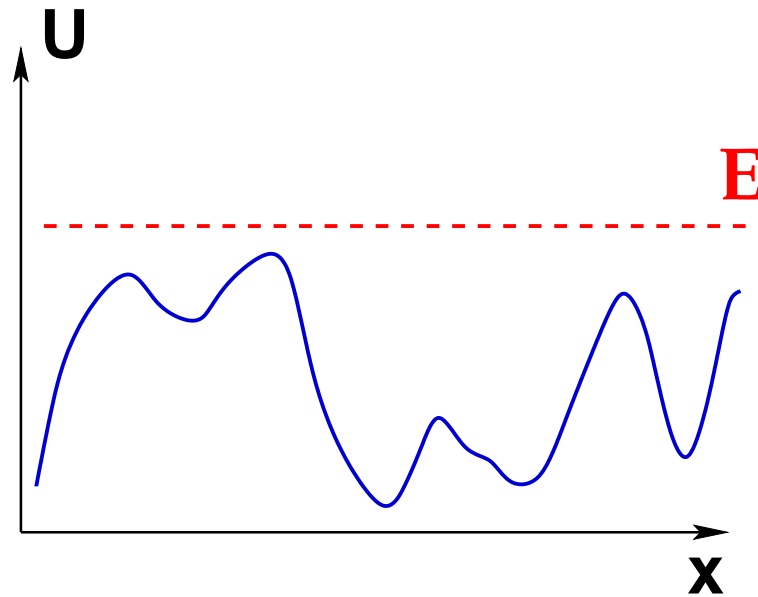
→ Anderson insulator

Nobel Prize 1977

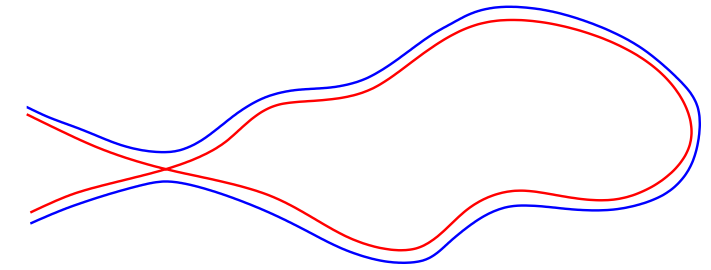
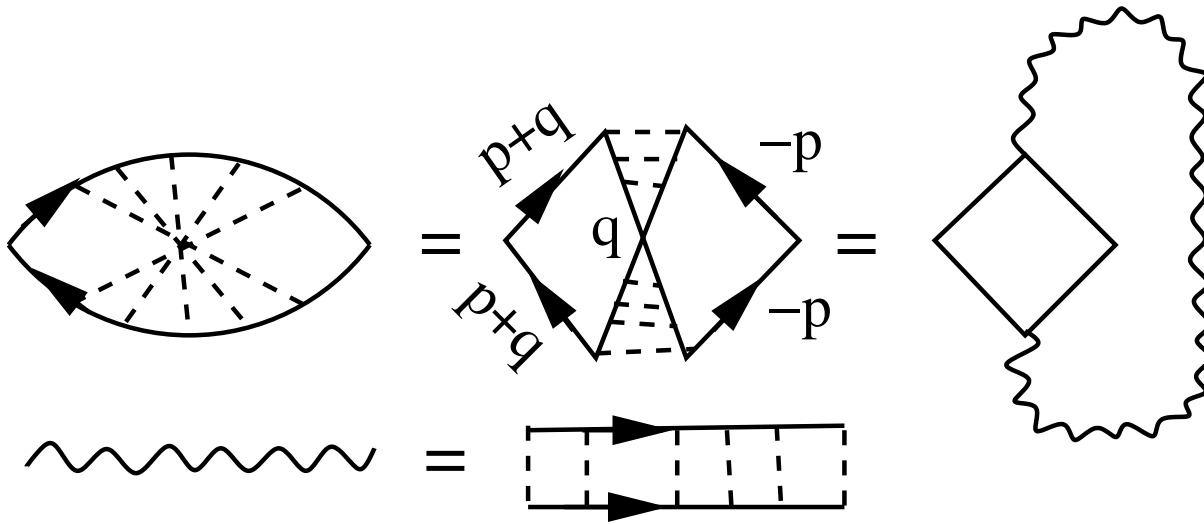
# Anderson Localization: Extended and localized wave functions

Schrödinger equation  
in a random potential

$$\left[-\hbar^2 \frac{\Delta}{2m} + U(\mathbf{r})\right]\psi = E\psi$$



# Precursor of strong Anderson localization: Weak localization



Cooperon loop (interference of time-reversed paths)

$$\Delta\sigma_{\text{WL}} \simeq \sigma_0 \frac{1}{\pi\nu} \int \frac{(dq)}{Dq^2 - i\omega}$$

$$\Delta\sigma_{\text{WL}} = -\frac{e^2}{2\pi h} \left( \frac{\sim 1}{l} - \frac{1}{L_\omega} \right), \quad \text{3D}$$

$$L_\omega \simeq (D/\omega)^{1/2}$$

$$\Delta\sigma_{\text{WL}} = -\frac{e^2}{\pi h} \ln \frac{L_\omega}{l}, \quad \text{2D}$$

Generally: IR cutoff

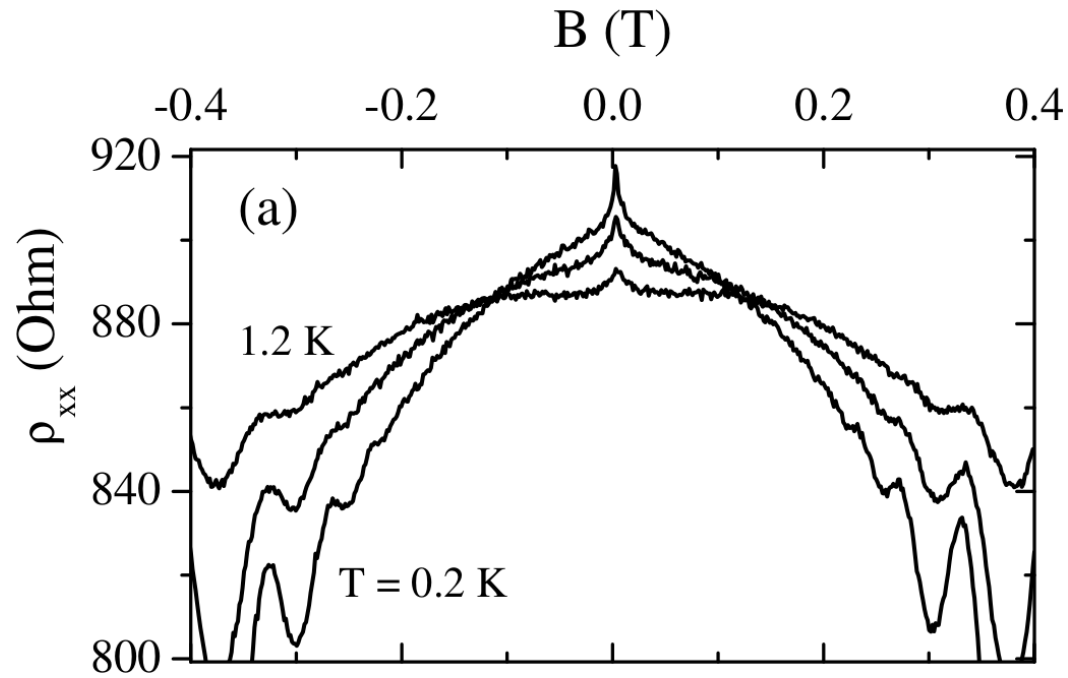
$$L_\omega \longrightarrow \min\{L_\omega, L_\phi, L, L_H\}$$

$$\Delta\sigma_{\text{WL}} = -\frac{e^2}{h} L_\omega, \quad \text{quasi-1D}$$

$$e^2/h \simeq (25 \text{ k}\Omega)^{-1}$$

“conductance quantum”

# Weak localization in experiment: Magnetoresistance

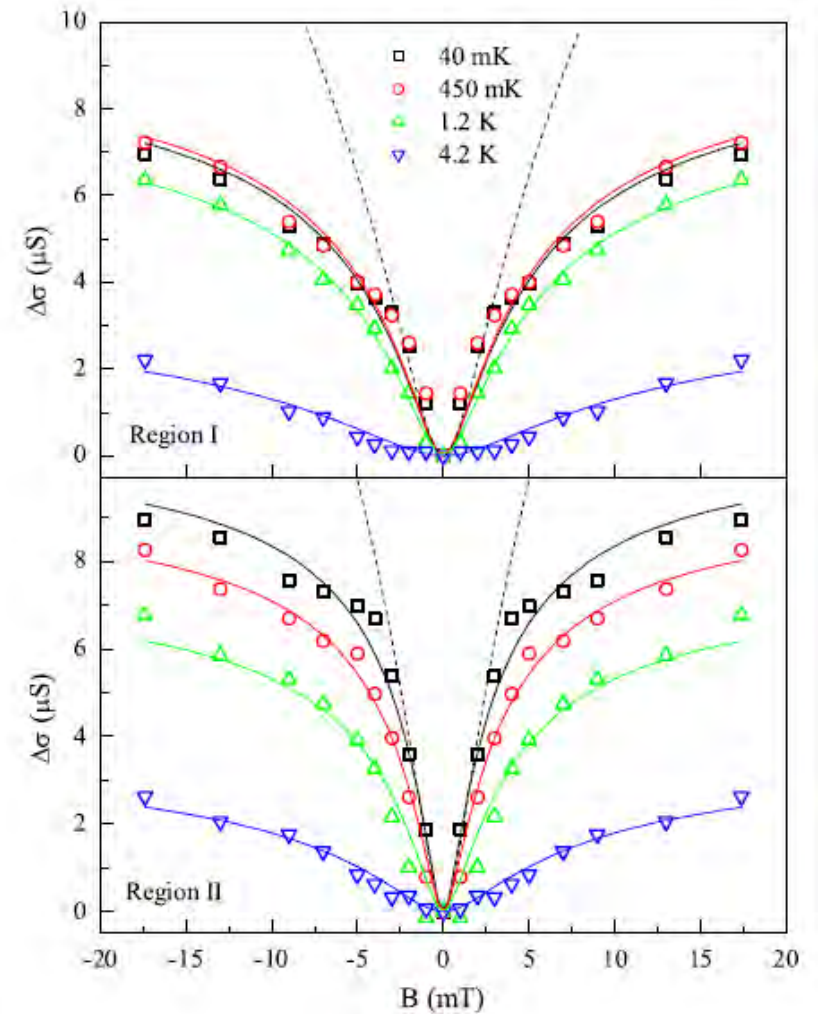


Li et al. (Savchenko group), PRL'03

2D electron gas

in GaAs heterostructure

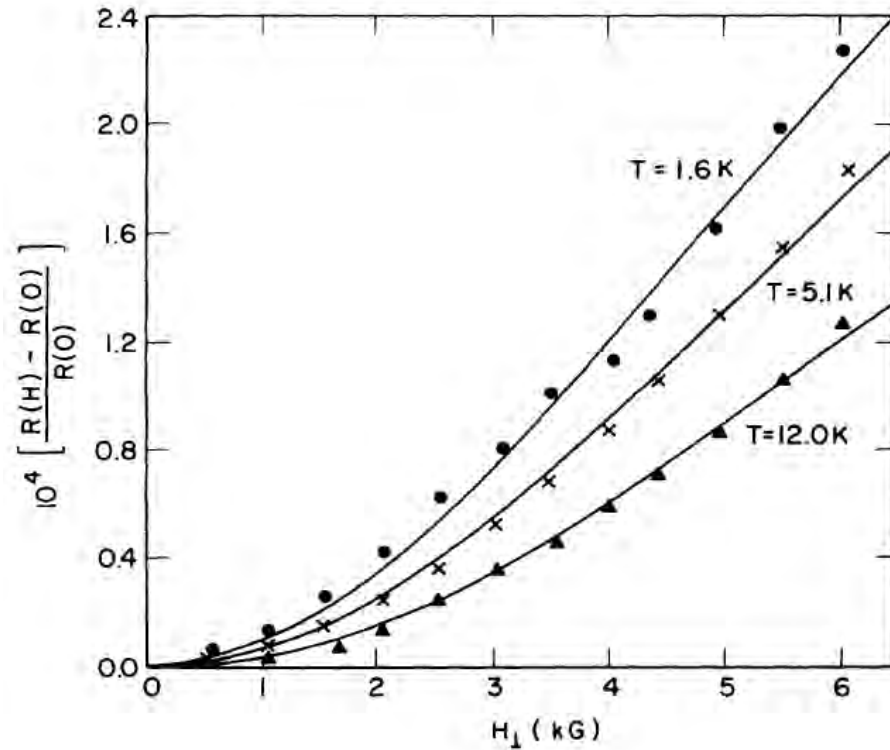
low field: weak localization



Gorbachev et al. (Savchenko group), PRL'07

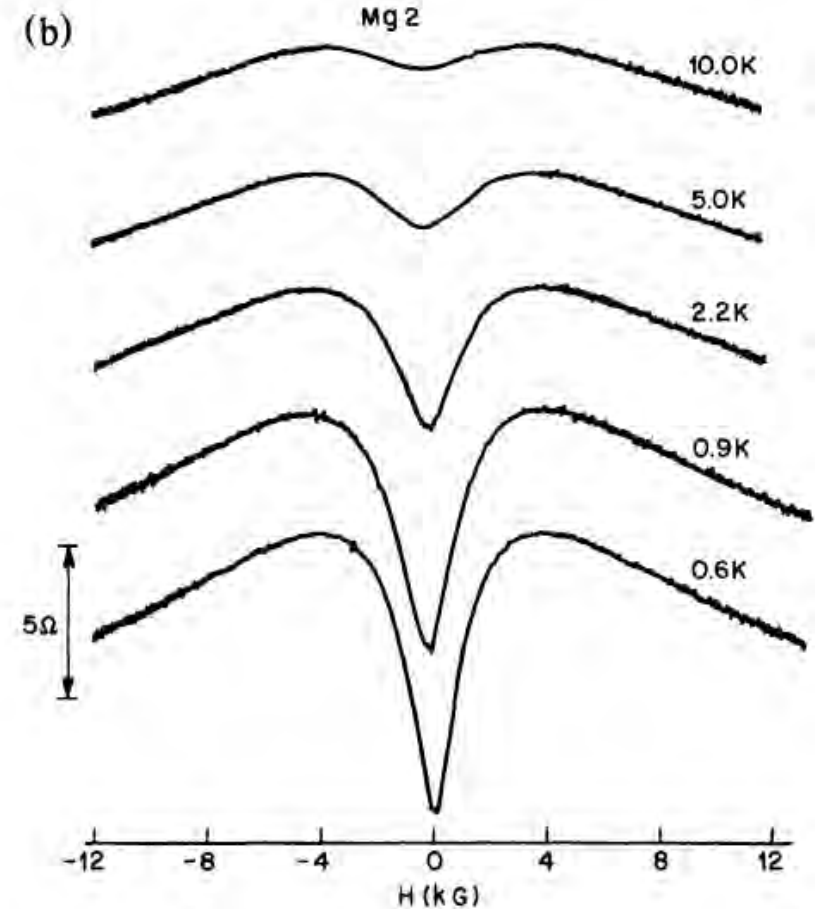
weak localization in bilayer graphene

# Weak localization in experiment: Magnetoresistance (cont'd)



Lin, Giordano, PRL'86

Au-Pd wires; weak antilocalization due to strong spin-orbit scattering



White, Dynes, Garno PRB'84

Mg films; weak antilocalization at lowest fields; weak localization at stronger fields

# Altshuler-Aronov-Spivak effect: $\Phi_0/2$ AB oscillations

## The Aaronov-Bohm effect in disordered conductors

B. L. Al'tshuler, A. G. Aronov, and B. Z. Spivak

*B. P. Konstantinov Institute of Nuclear Physics, USSR Academy of Sciences*

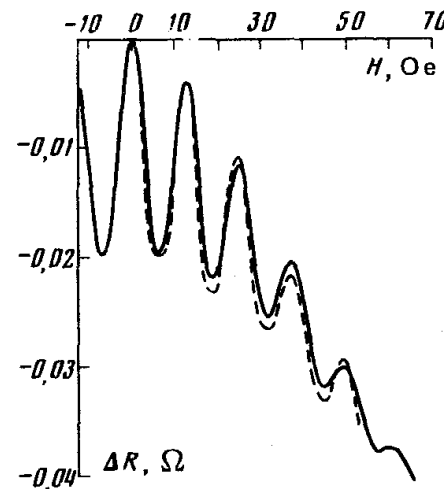
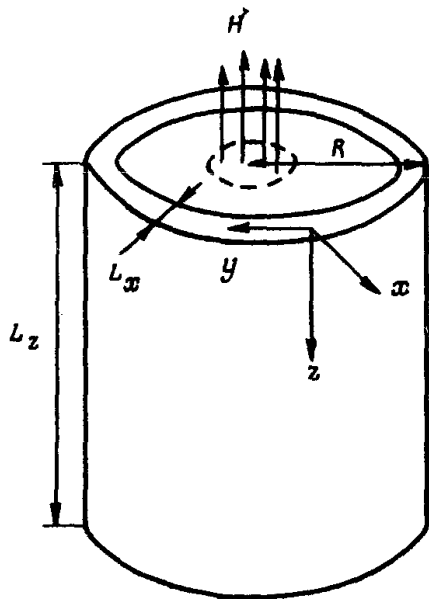
(Submitted 18 November 1980)

Pis'ma Zh. Eksp. Teor. Fiz. **33**, No. 2, 101–103 (20 January 1981)

It is shown that the Aaronov-Bohm effect, which is manifested in the oscillations of the kinetic coefficients as a function of the magnetic flux that penetrates the sample, must exist in disordered normal conductors. The period of these oscillations is  $\Phi_0 = bc/2e$ , i.e., it is half as large as in the ordinary Aaronov-Bohm effect.



Arkady Aronov (1939-1994)

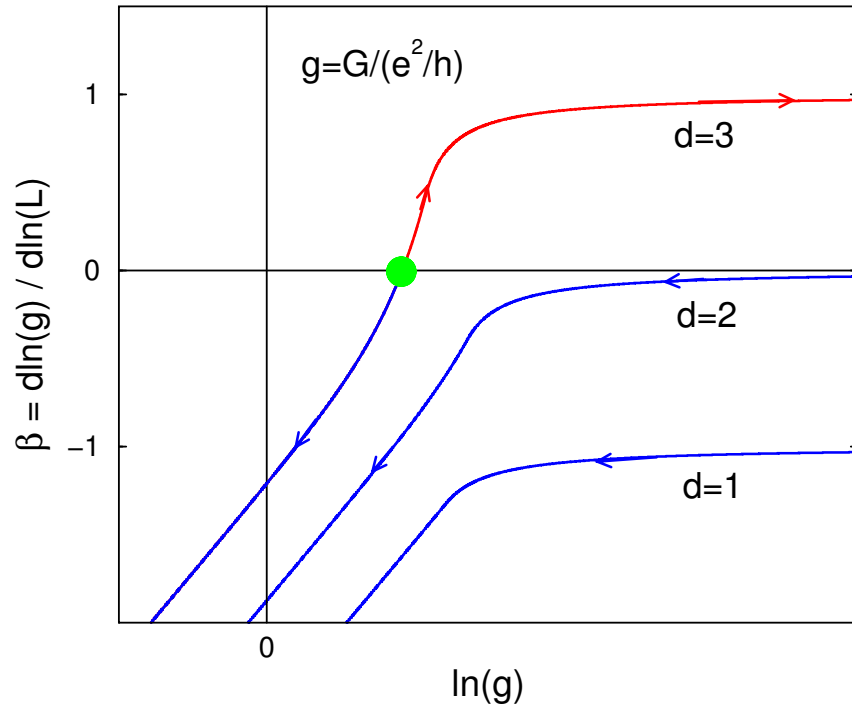


experimental observation:  
**Sharvin, Sharvin '81**

review: **Aronov, Sharvin,  
Rev. Mod. Phys.'87**



# Anderson Insulators & Metals



Connection with scaling theory of critical phenomena: Thouless '74; Wegner '76

Scaling theory of localization: Abrahams, Anderson, Licciardello, Ramakrishnan '79

scaling variable:

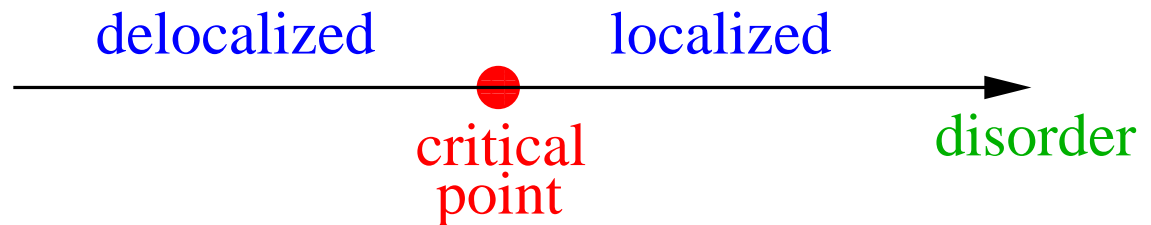
dimensionless conductance  $g = G/(e^2/h)$

RG for field theory ( $\sigma$ -model)

Wegner '79

quasi-1D, 2D :  
all states are localized

$d > 2$ : Anderson metal-insulator transition



review: Evers, ADM, Rev. Mod. Phys. 80, 1355 (2008)

# Field theory: non-linear $\sigma$ -model

action:

$$S[Q] = \frac{\pi\nu}{4} \int d^d r \operatorname{Tr} [-D(\nabla Q)^2 - 2i\omega\Lambda Q], \quad Q^2(\mathbf{r}) = 1$$

Wegner'79

$\sigma$ -model manifold:

e.g., “unitary” symmetry class (broken time-reversal symmetry):

- fermionic replicas:  $U(2n)/U(n) \times U(n)$ ,  $n \rightarrow 0$  “sphere”
- bosonic replicas:  $U(n, n)/U(n) \times U(n)$ ,  $n \rightarrow 0$  “hyperboloid”

- supersymmetry (Efetov'83):  $U(1, 1|2)/U(1|1) \times U(1|1)$

{“sphere”  $\times$  “hyperboloid”} “dressed” by anticommuting variables

- with electron-electron interaction: Finkelstein'83

## $\sigma$ model: Perturbative treatment

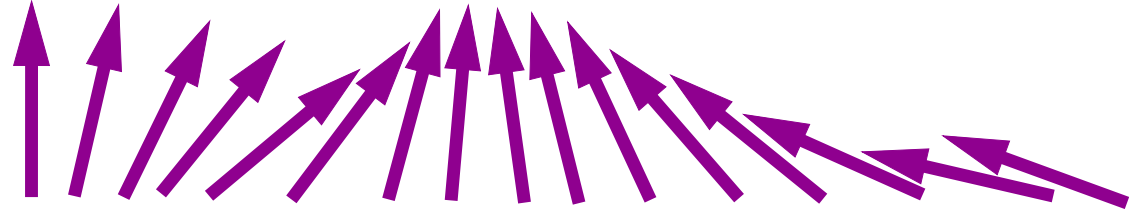
For comparison, consider ferromagnet model in external magnetic field:

$$H[S] = \int d^d r \left[ \frac{\kappa}{2} (\nabla S(\mathbf{r}))^2 - BS(\mathbf{r}) \right], \quad S^2(\mathbf{r}) = 1$$

$n$ -component vector  $\sigma$ -model

Target manifold:

sphere  $S^{n-1} = O(n)/O(n-1)$



Independent degrees of freedom: transverse part  $S_{\perp}$ ;  $S_{\parallel} = (1 - S_{\perp}^2)^{1/2}$

$$H[S_{\perp}] = \frac{1}{2} \int d^d r \left[ \kappa [\nabla S_{\perp}(\mathbf{r})]^2 + BS_{\perp}^2(\mathbf{r}) + O(S_{\perp}^4(\mathbf{r})) \right]$$

Ferromagnetic phase: broken symmetry,

Goldstone modes – spin waves

$$\langle S_{\perp} S_{\perp} \rangle_q \propto \frac{1}{\kappa q^2 + B}$$

Similarly

$$S[Q] = \frac{\pi\nu}{4} \int d^d r \text{Str} [D(\nabla Q_{\perp})^2 - i\omega Q_{\perp}^2 + O(Q_{\perp}^3)]$$

theory of “interacting” diffusion modes;

Goldstone mode: diffusion propagator

$$\langle Q_{\perp} Q_{\perp} \rangle_{q,\omega} \sim \frac{1}{\pi\nu(Dq^2 - i\omega)}$$

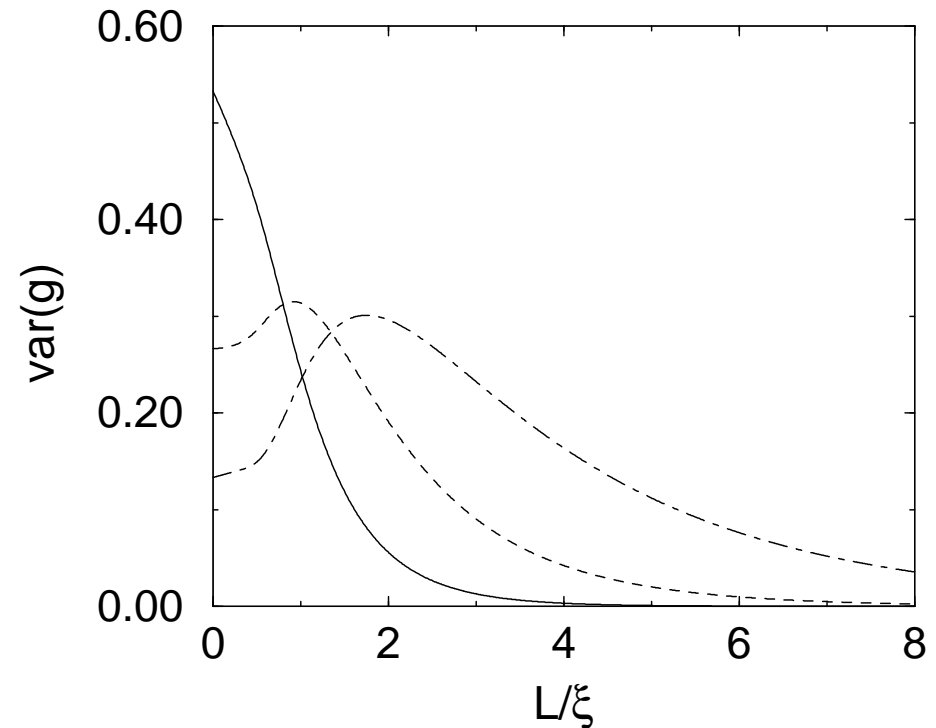
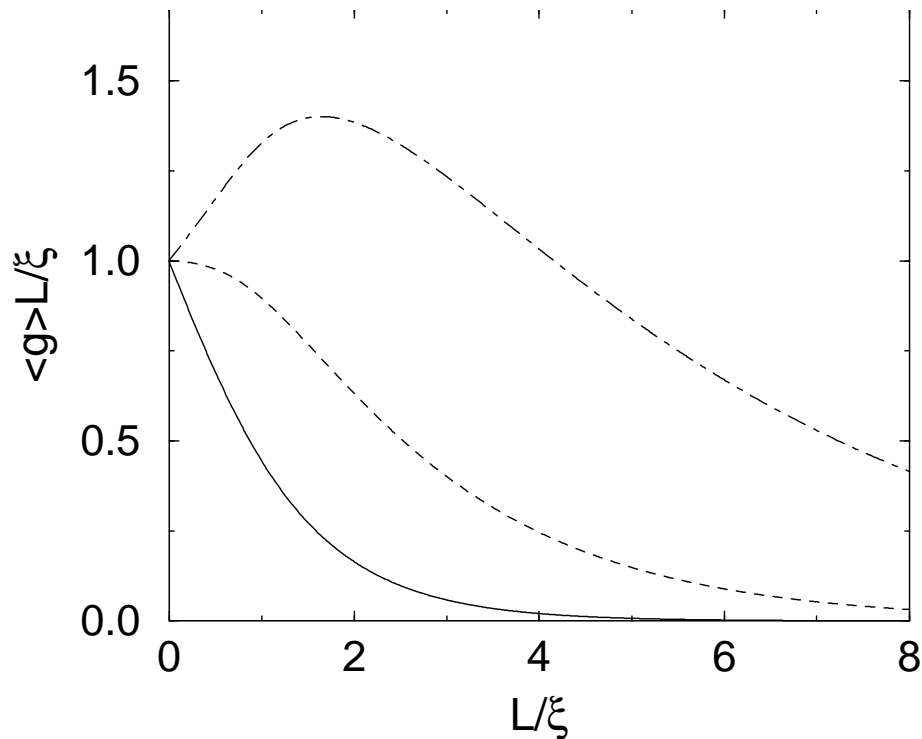
# Quasi-1D geometry: Exact solution of the $\sigma$ -model

quasi-1D geometry (many-channel wire)  $\longrightarrow$  1D  $\sigma$ -model

$\longrightarrow$  diffusion on  $\sigma$ -model curved space

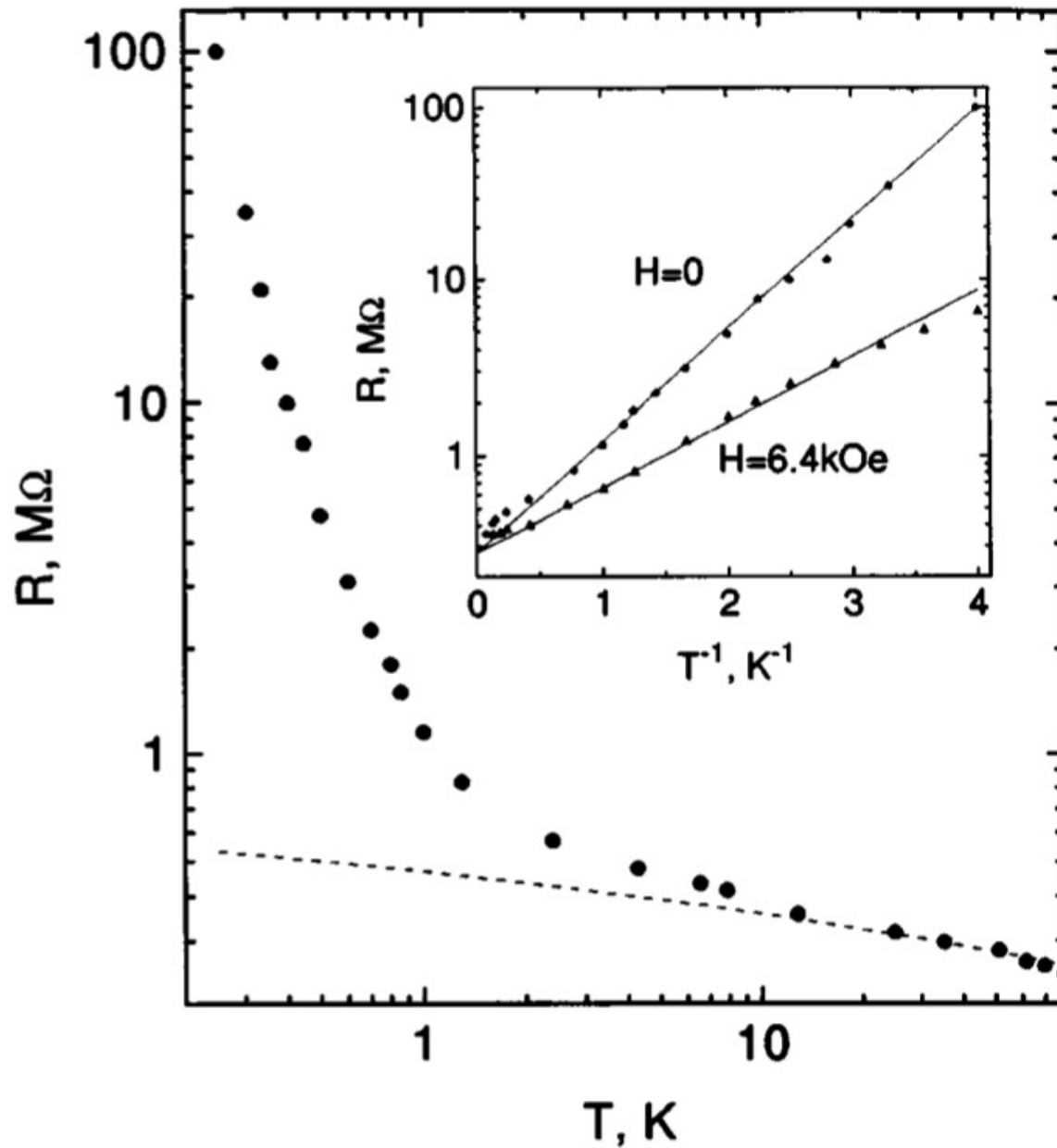
$$\partial_t W = \Delta_Q W, \quad t = x/\xi$$

- Localization length Efetov, Larkin '83
- Exact solution for the statistics of eigenfunctions Fyodorov, ADM '92-94
- Exact  $\langle g \rangle(L/\xi)$  and  $\text{var}(g)(L/\xi)$  Zirnbauer, ADM, Müller-Groeling '92-94



orthogonal (full), unitary (dashed), symplectic (dot-dashed)

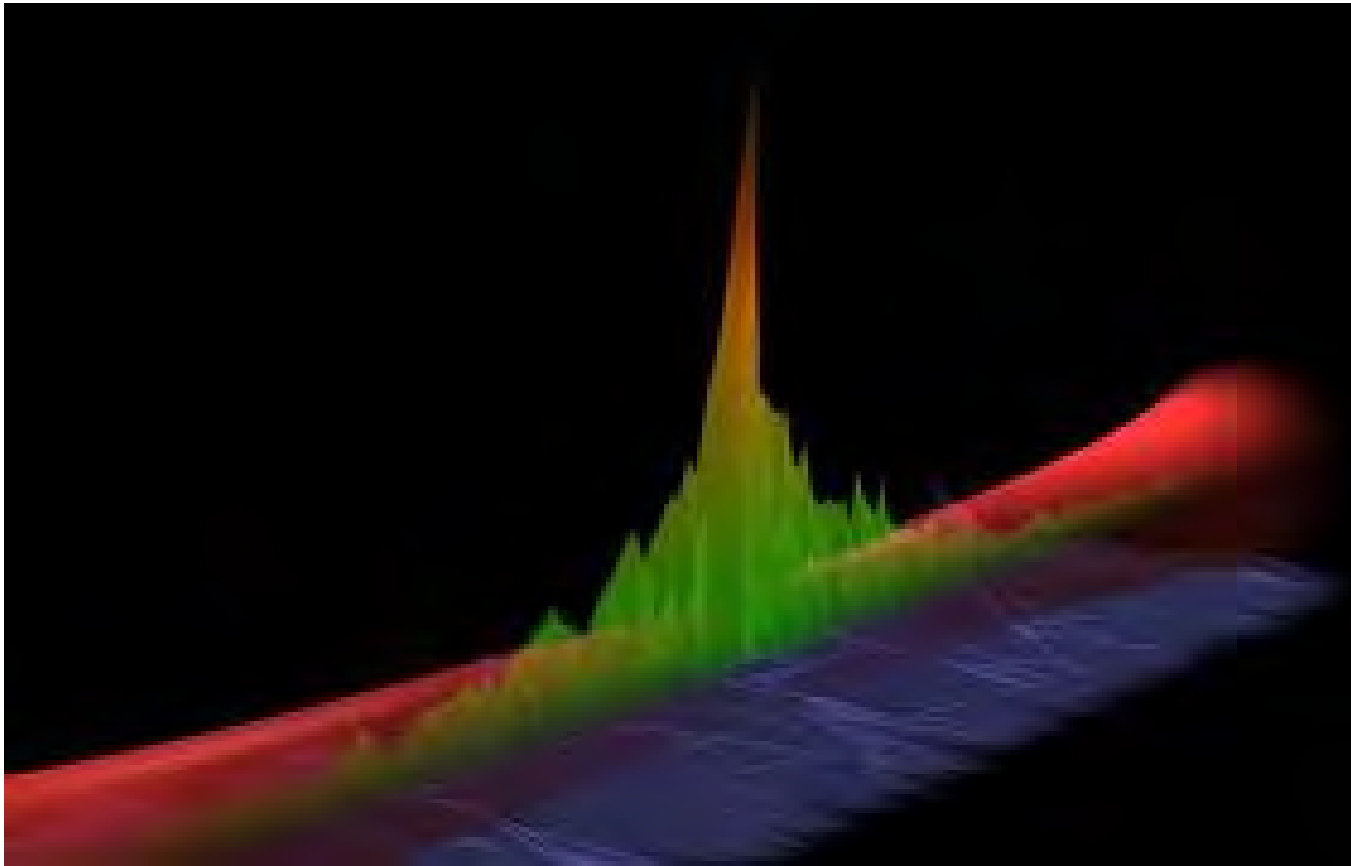
# From weak to strong localization of electrons in wires



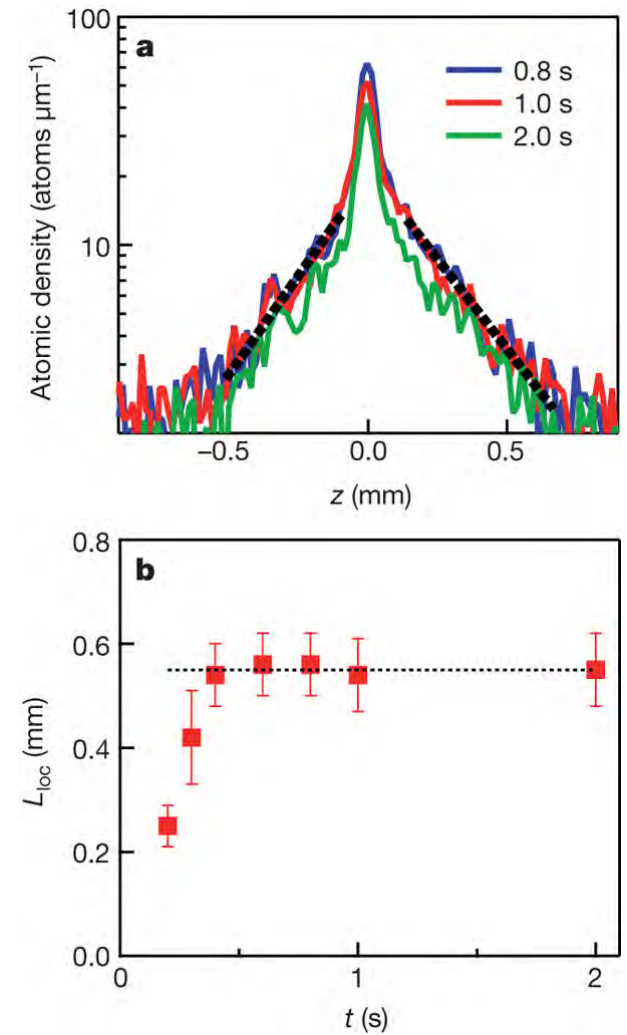
GaAs wires

Gershenson et al, PRL 97

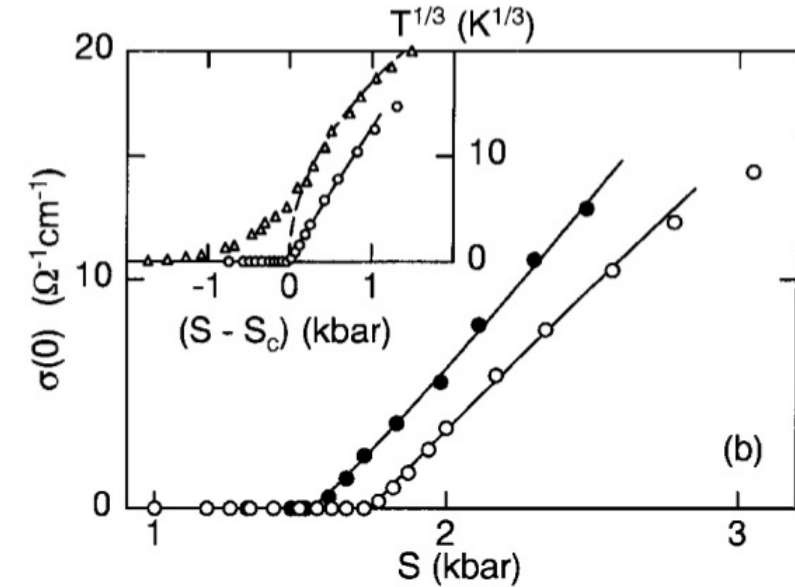
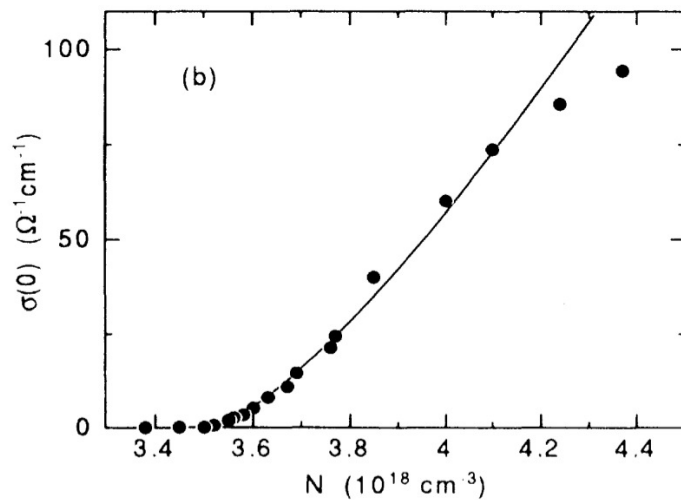
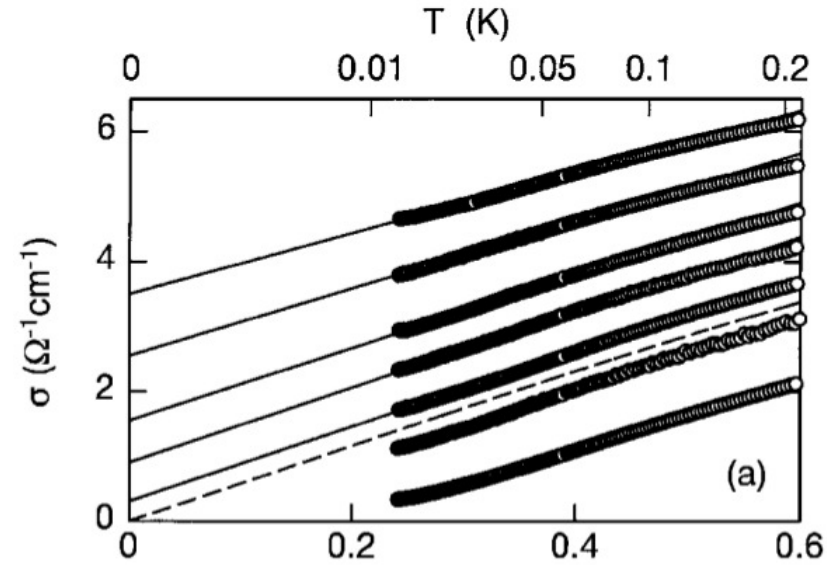
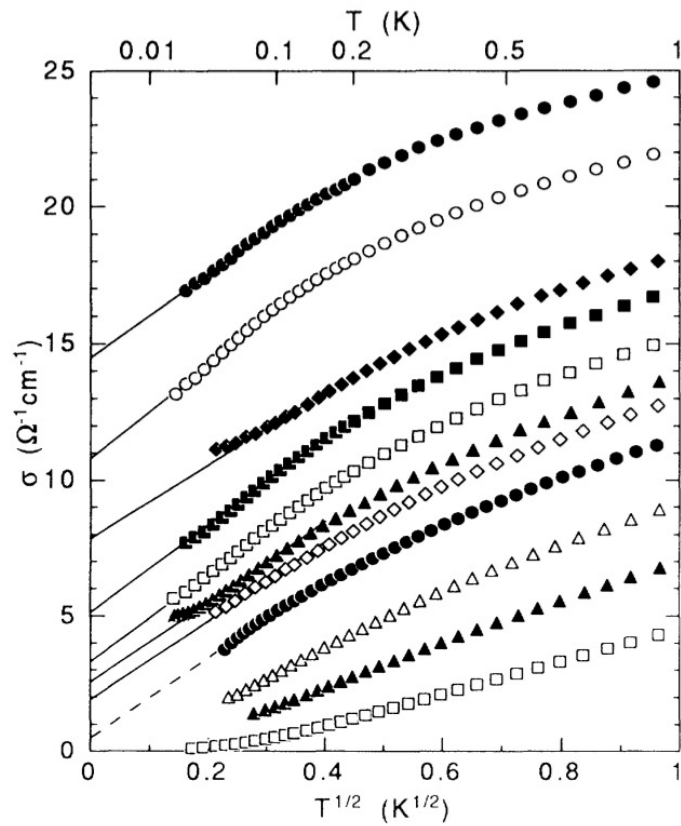
# Anderson localization of atomic Bose-Einstein condensate in 1D



Billy et al (Aspect group), Nature 2008



# 3D Anderson localization transition in Si:P



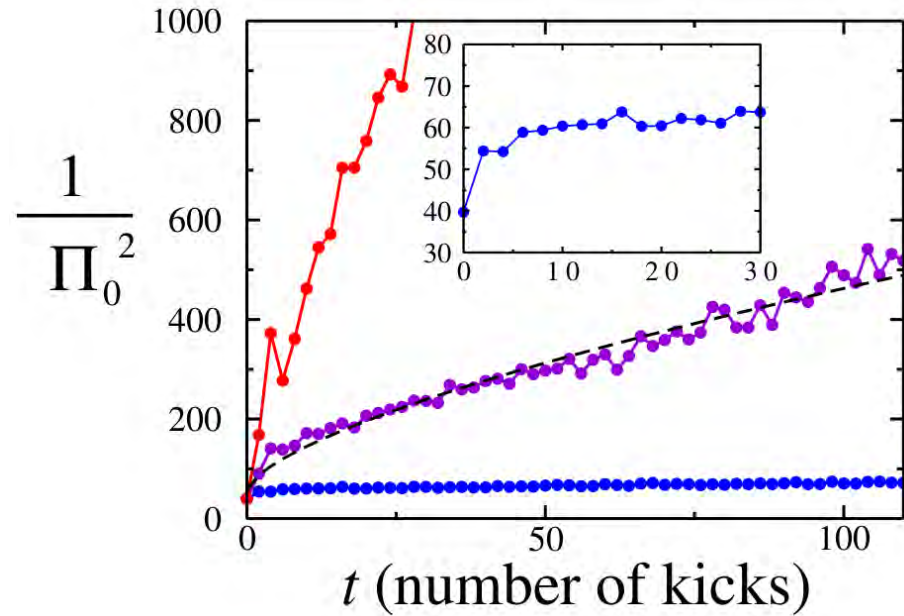
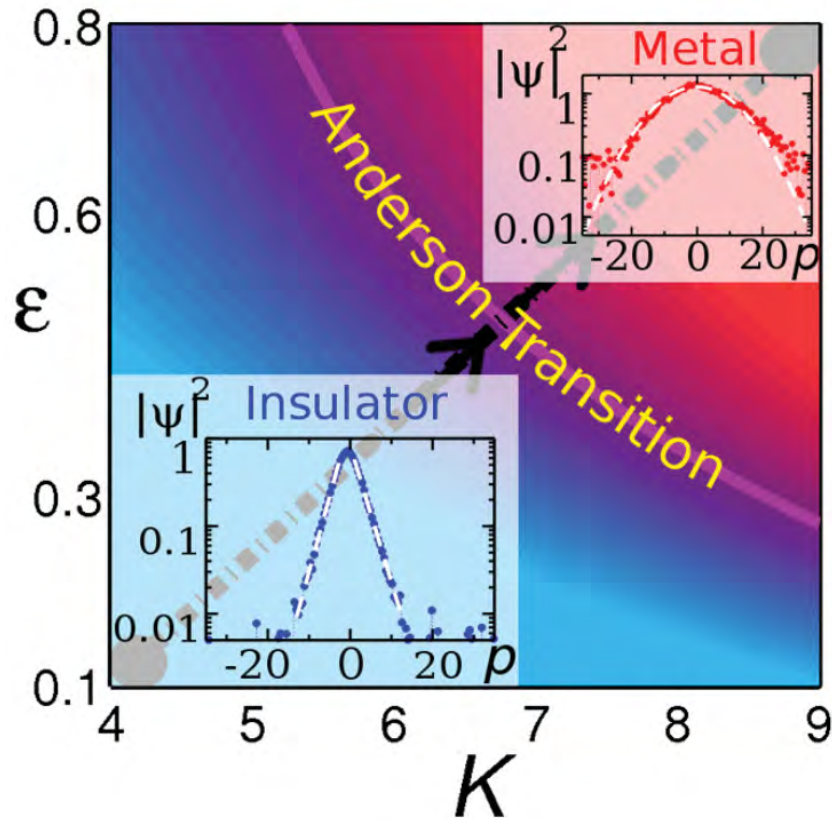
Stupp et al, PRL'93; Wafenschmidt et al, PRL'97  
(von Löhneysen group)

# 3D Anderson localization in atomic “kicked rotor”

kicked rotor 
$$H = \frac{p^2}{2} + K \cos x [1 + \epsilon \cos \omega_2 t \cos \omega_3 t] \sum_n \delta(t - 2\pi n / \omega_1)$$

Anderson localization in momentum space. Three frequencies mimic 3D !

Experimental realization: cesium atoms exposed to a pulsed laser beam.



Chabé et al, PRL'08



# Multifractality at the Anderson transition

$$P_q = \int d^d r |\psi(\mathbf{r})|^{2q} \quad \text{inverse participation ratio}$$

$$\langle P_q \rangle \sim \begin{cases} L^0 & \text{insulator} \\ L^{-\tau_q} & \text{critical} \\ L^{-d(q-1)} & \text{metal} \end{cases}$$

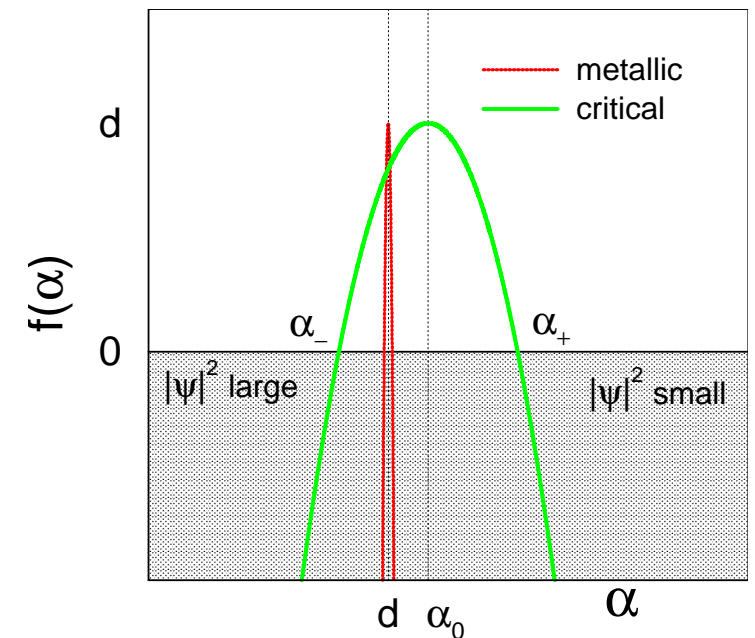
$$\tau_q = \underbrace{d(q-1)}_{\text{normal}} + \underbrace{\Delta_q}_{\text{anomalous}} \equiv D_q(q-1) \quad \text{multifractality}$$

$\tau_q \longrightarrow$  Legendre transformation  
 $\longrightarrow$  singularity spectrum  $f(\alpha)$

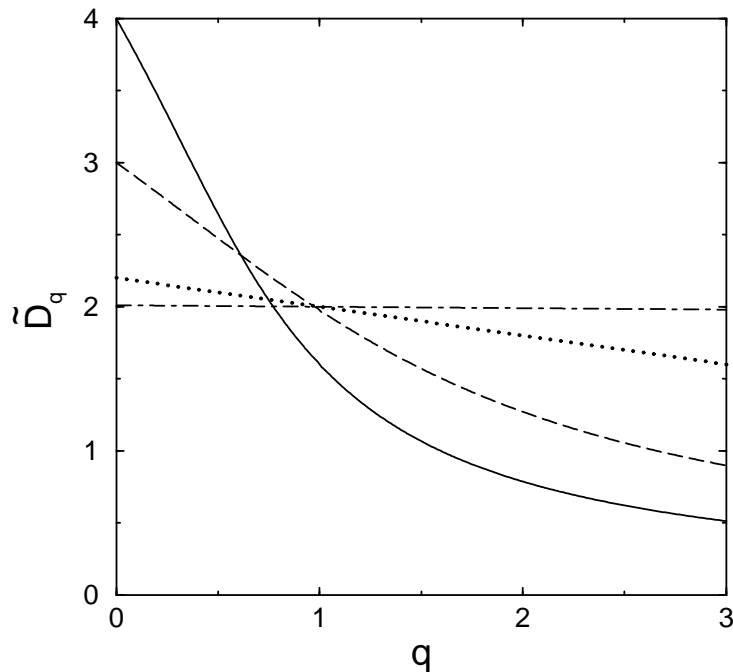
wave function statistics:

$$\mathcal{P}(\ln |\psi^2|) \sim L^{-d+f(\ln |\psi^2|/\ln L)}$$

$L^{f(\alpha)}$  – measure of the set of points where  $|\psi|^2 \sim L^{-\alpha}$



# Dimensionality dependence of multifractality



**Analytics** ( $2 + \epsilon$ , one-loop) **and**  
**numerics**

$$\tau_q = (q - 1)d - q(q - 1)\epsilon + O(\epsilon^4)$$

$$f(\alpha) = d - (d + \epsilon - \alpha)^2 / 4\epsilon + O(\epsilon^4)$$

$d = 4$  (full)

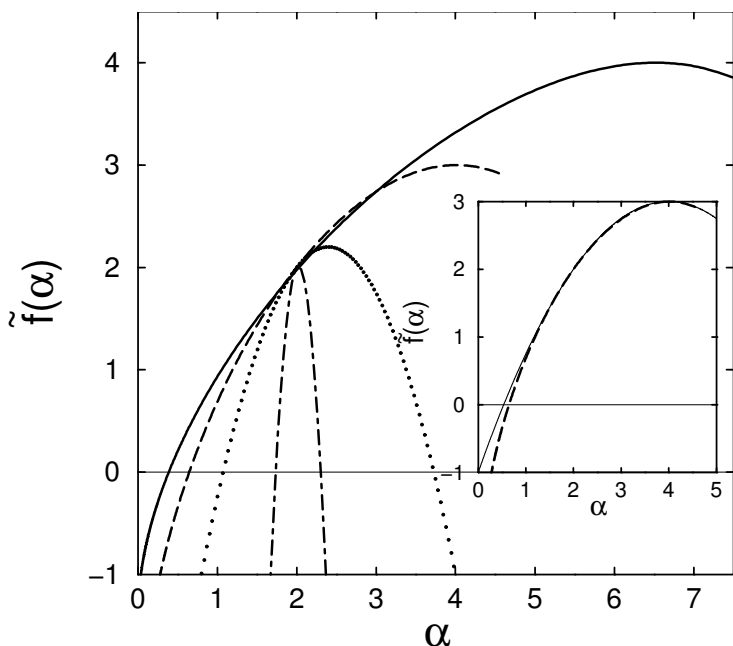
$d = 3$  (dashed)

$d = 2 + \epsilon$ ,  $\epsilon = 0.2$  (dotted)

$d = 2 + \epsilon$ ,  $\epsilon = 0.01$  (dot-dashed)

**Inset:**  $d = 3$  (dashed)

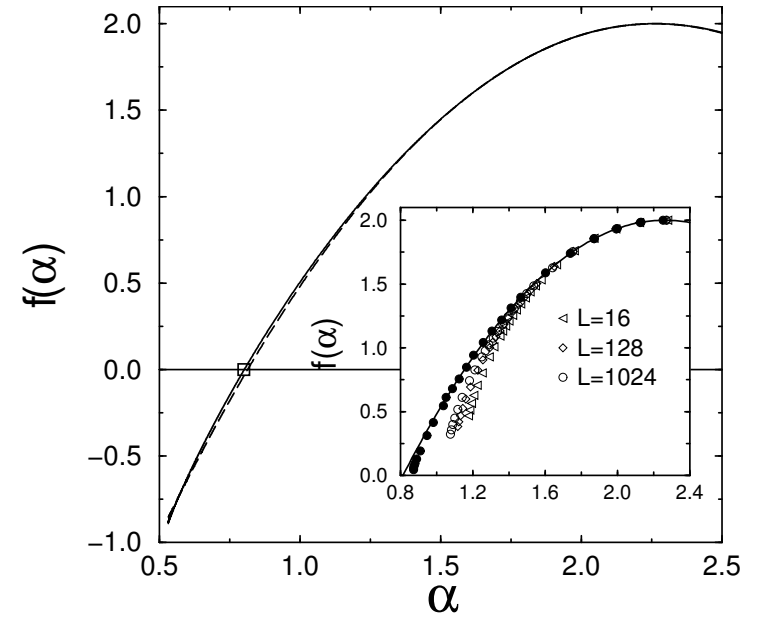
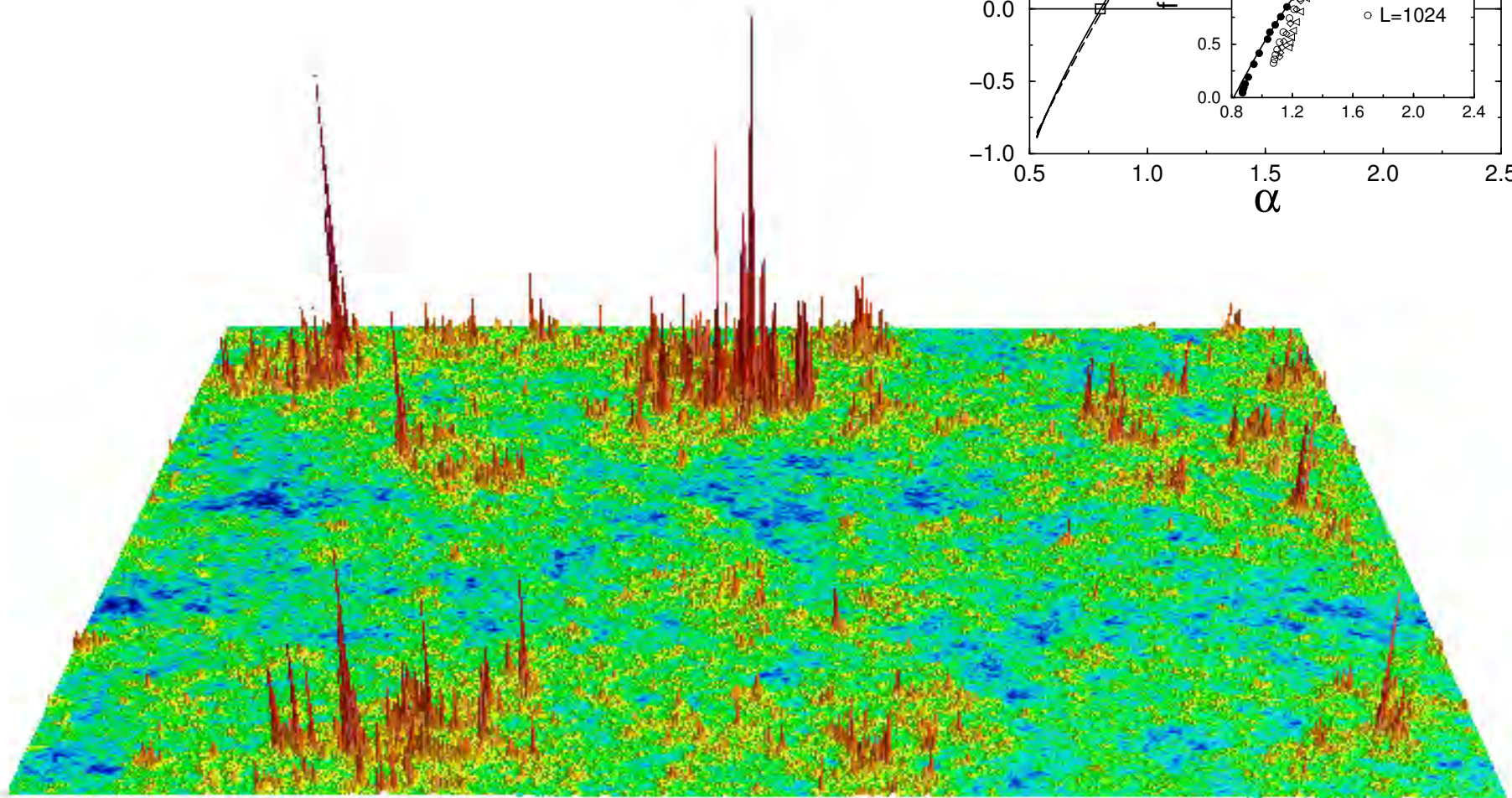
**vs.**  $d = 2 + \epsilon$ ,  $\epsilon = 1$  (full)



**Mildenberger, Evers, ADM '02**

# Multifractality at the Quantum Hall transition

Evers, Mildenberger, ADM '01



# Power-law random banded matrix model (PRBM)

ADM, Fyodorov, Dittes, Quezada, Seligman '96

$$N \times N \text{ random matrix } H = H^\dagger \quad \langle |H_{ij}|^2 \rangle = \frac{1}{1 + |i - j|^2/b^2}$$

$\longleftrightarrow$  1D model with  $1/r$  long range hopping

$$0 < b < \infty \quad \text{parameter}$$

Critical for any  $b \longrightarrow$  family of critical theories!

$b \gg 1$  analogous to  $d = 2 + \epsilon$        $b \ll 1$  analogous to  $d \gg 1$

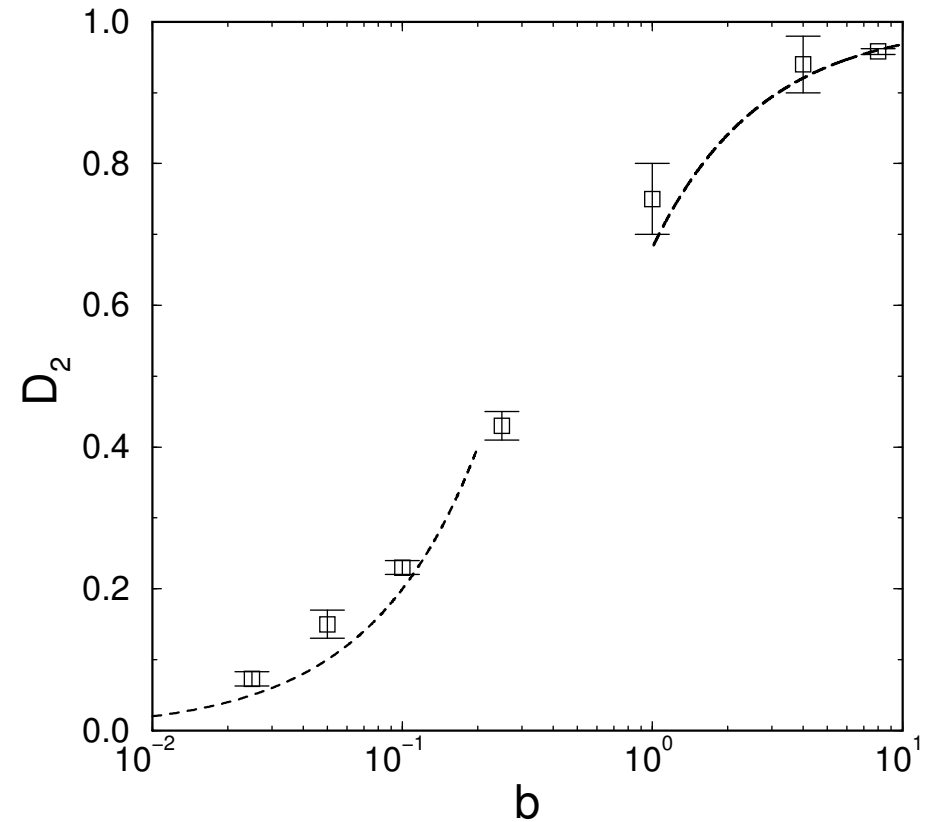
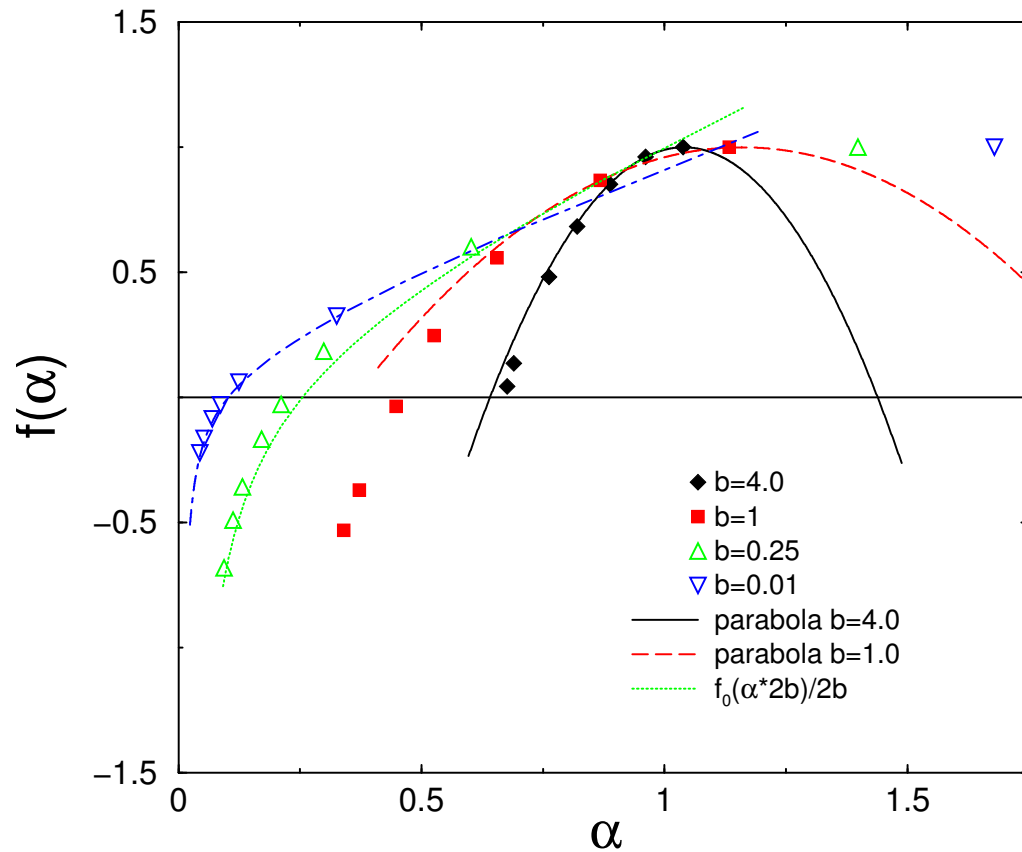
**Analytics:**       $b \gg 1$ :  $\sigma$ -model RG

$b \ll 1$ : real space RG

**Numerics:**      efficient in a broad range of  $b$

Evers, ADM '01

# Multifractality in PRBM model: analytics vs numerics



numerics:  $b = 4, 1, 0.25, 0.01$

analytics:  $b \gg 1$  ( $\sigma$ -model RG),  $b \ll 1$  (real-space RG)

# Symmetry of multifractal spectra

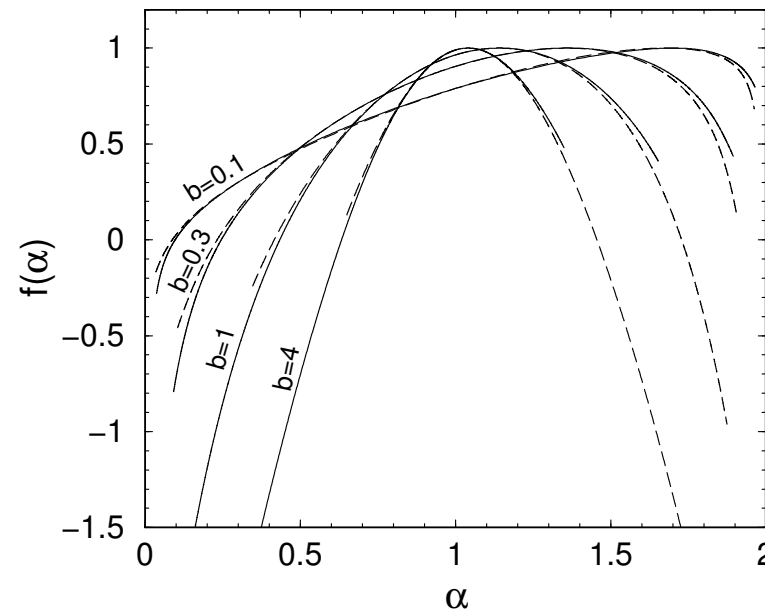
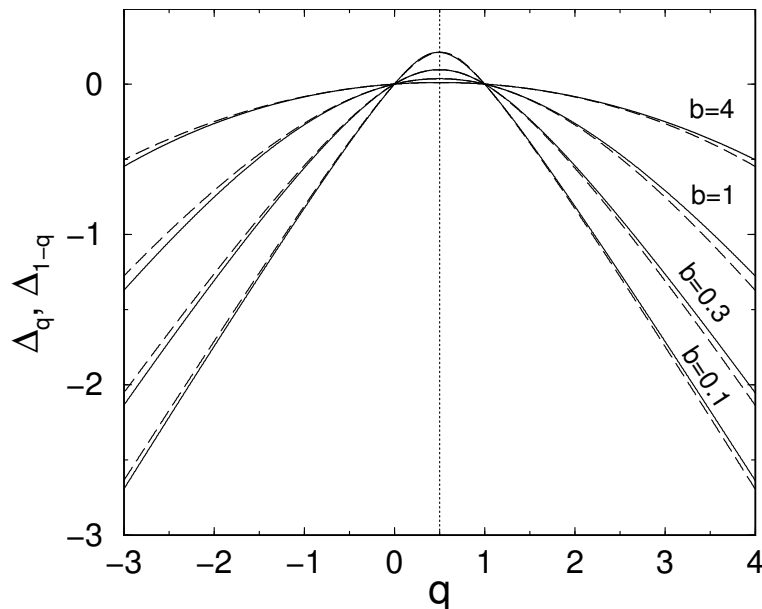
ADM, Fyodorov, Mildenberger, Evers '06

LDOS distribution in  $\sigma$ -model + universality

→ exact symmetry of the multifractal spectrum:

$$\Delta_q = \Delta_{1-q}$$

$$f(2d - \alpha) = f(\alpha) + d - \alpha$$



→ probabilities of unusually large  
and unusually small  $|\psi^2(r)|$  are related !

# Multifractality: Generalizations

- Symmetry of multifractal spectra as a consequence of invariance of the  $\sigma$  model correlation functions with respect to **Weyl group** of the  $\sigma$  model target space;

generalization to **unconventional symmetry classes**

Gruzberg, Ludwig, ADM, Zirnbauer PRL'11

- generalization on **full set of composite operators**, i.e. also on subleading ones.

Gruzberg, ADM, Zirnbauer, PRB'13

Important example:

$$A_2 = V^2 |\psi_1(r_1)\psi_2(r_2) - \psi_1(r_2)\psi_2(r_1)|^2$$

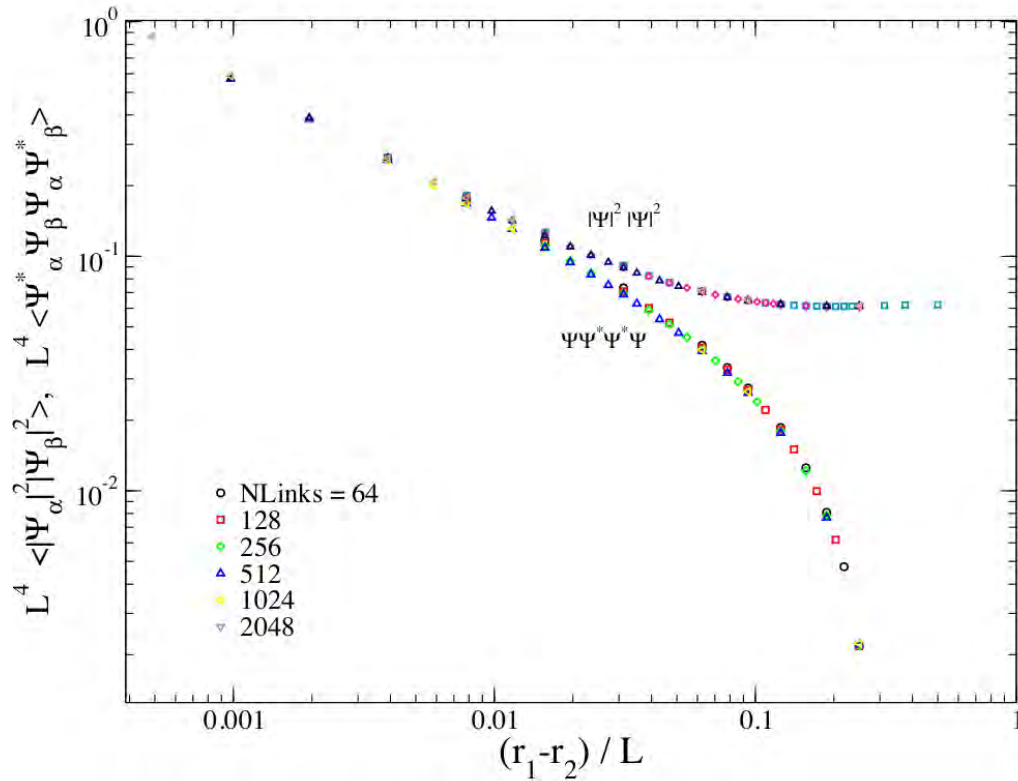
$\longleftrightarrow$  **Hartree-Fock matrix element of e-e interaction**

scaling:  $\langle A_2^q \rangle \propto L^{-\Delta_q^{(2)}}$

symmetry:  $\Delta_q^{(2)} = \Delta_{2-q}^{(2)}$



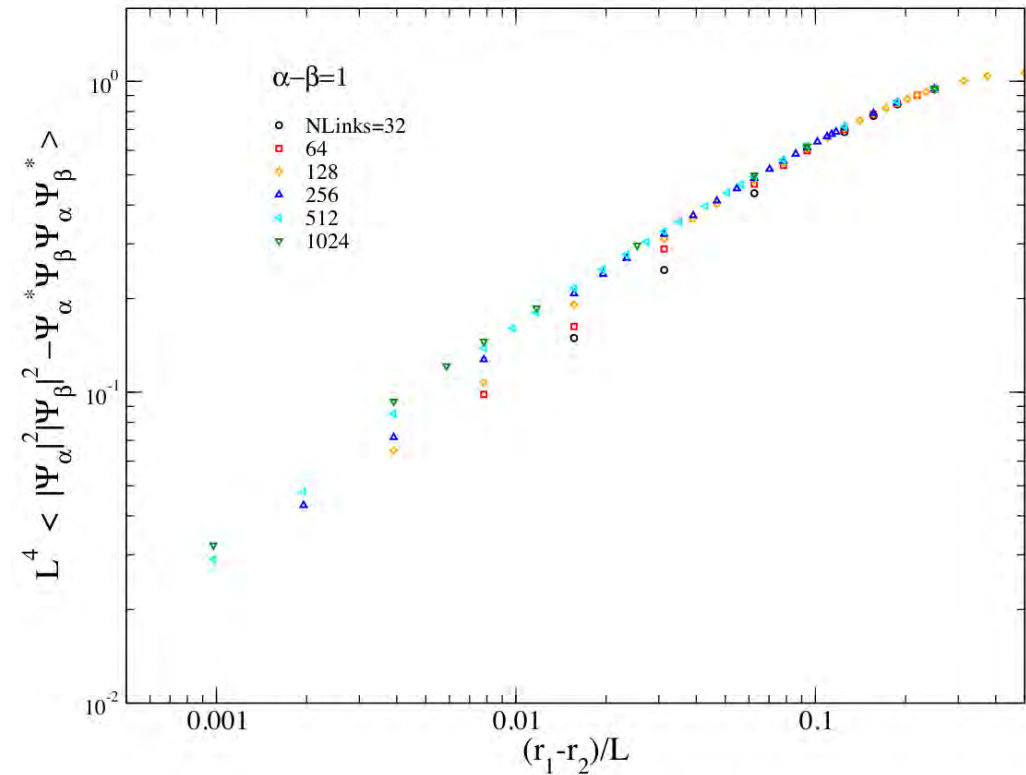
# Interaction scaling at criticality



Hartree, Fock

enhanced by multifractality

exponent  $\Delta_2 \simeq -0.52 < 0$



Hartree – Fock

suppressed by multifractality

exponent  $\Delta_1^{(2)} \simeq 0.62 > 0$

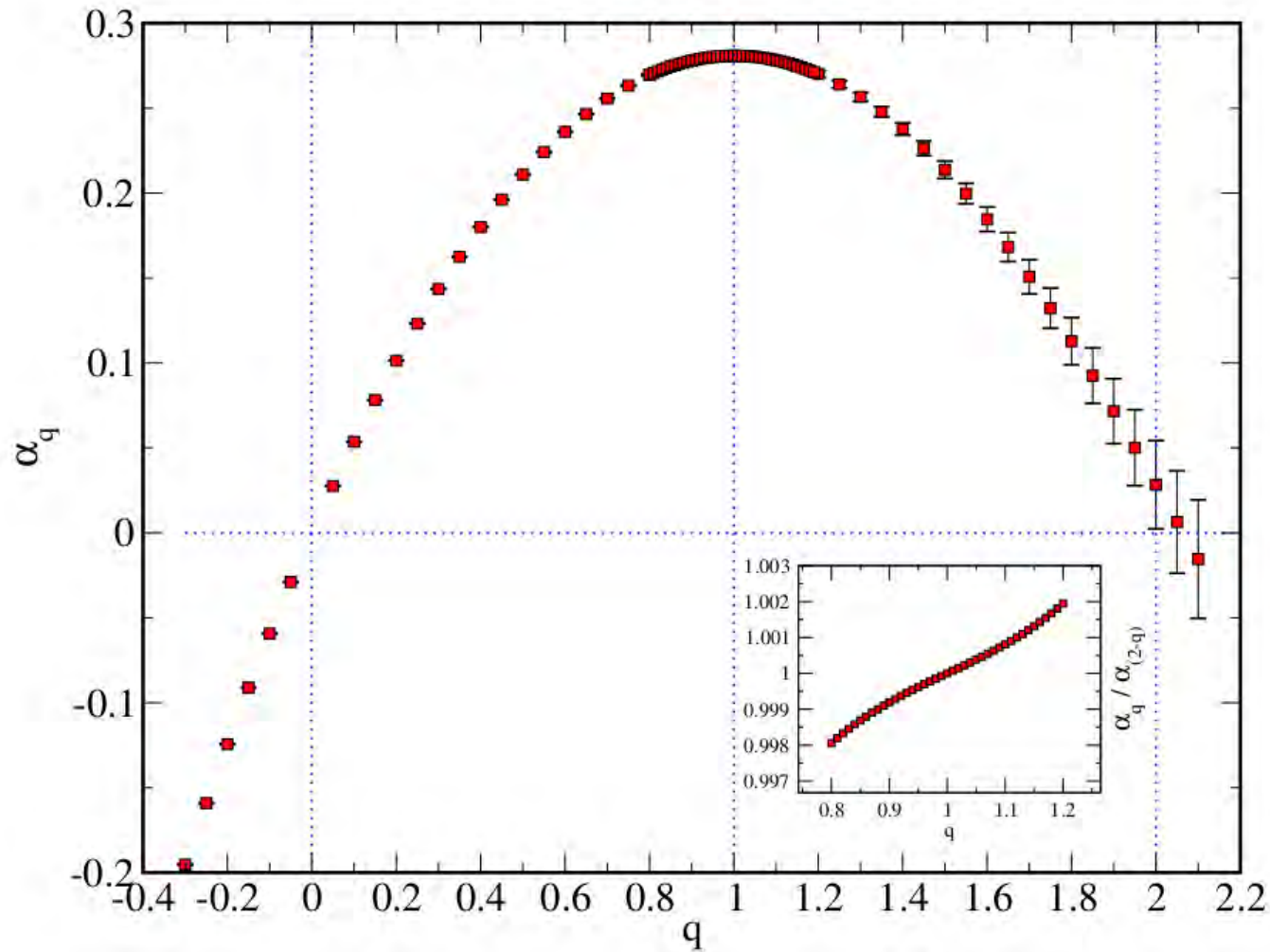
Burmistrov, Bera, Evers, Gornyi, ADM, Annals Phys. 326, 1457 (2011)

→ Temperature scaling at quantum Hall and metal-insulator transitions with short-range interaction



# Multifractal spectrum of $A_2$ at quantum Hall transition

Numerical data: Bera, Evers, unpublished

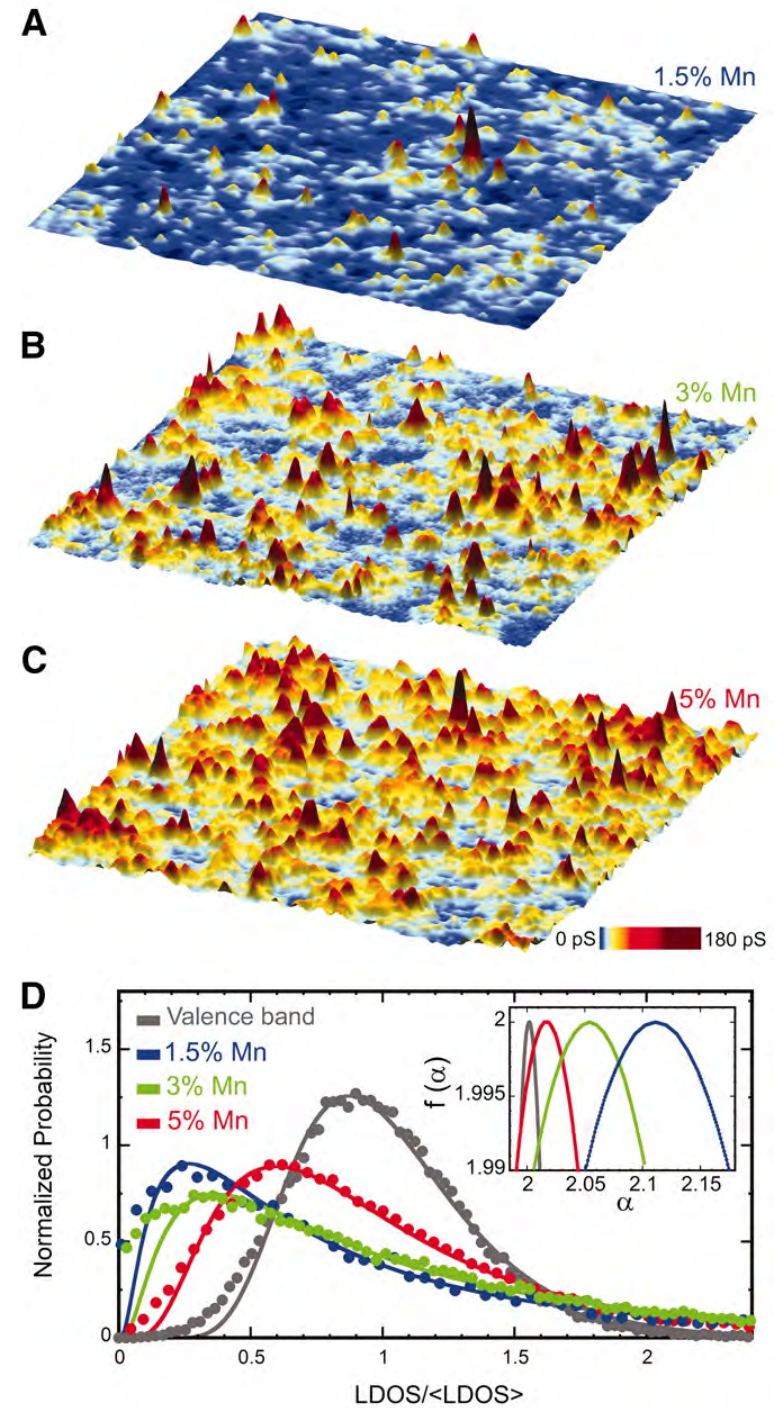


Confirms the symmetry  $q \longleftrightarrow 2 - q$

# Multifractality: Experiment I

Local DOS fluctuations  
near metal-insulator transition  
in  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$

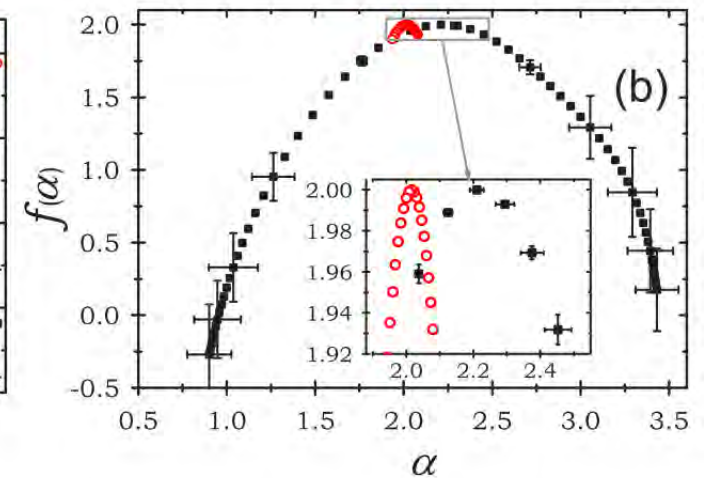
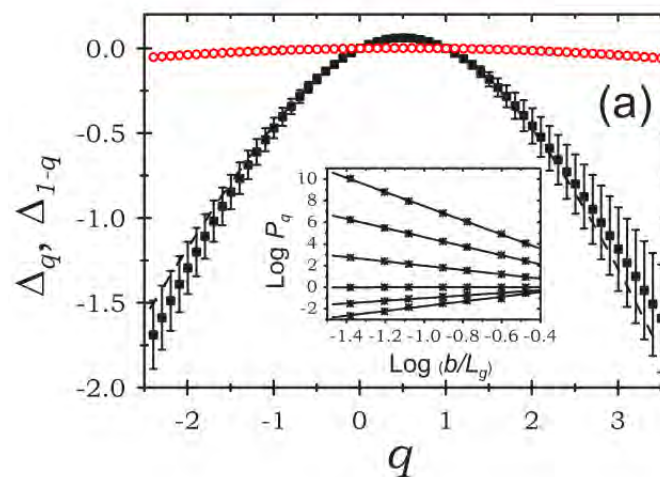
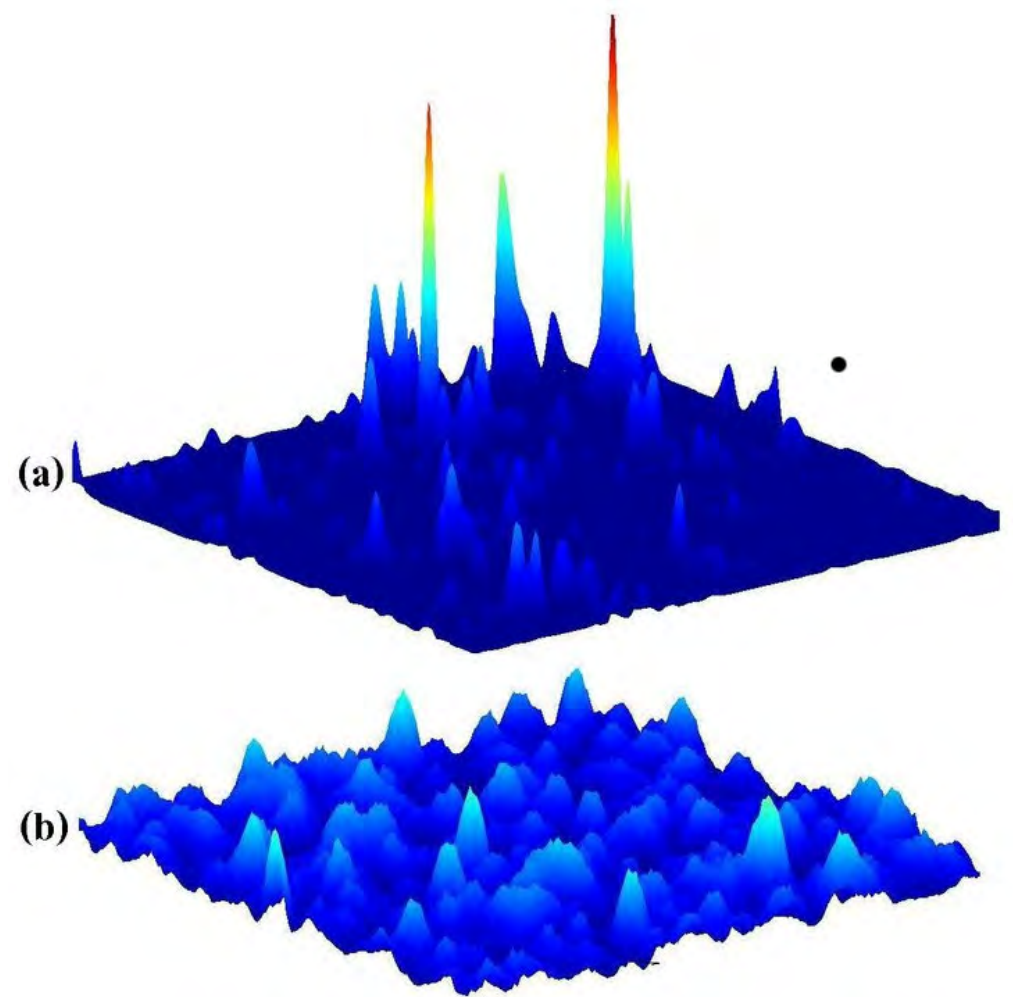
Richardella,..., Yazdani, Science '10



# Multifractality: Experiment II

Ultrasound speckle in a system of randomly packed Al beads

Faez, Strybulevich, Page, Legendijk, van Tiggelen, PRL'09

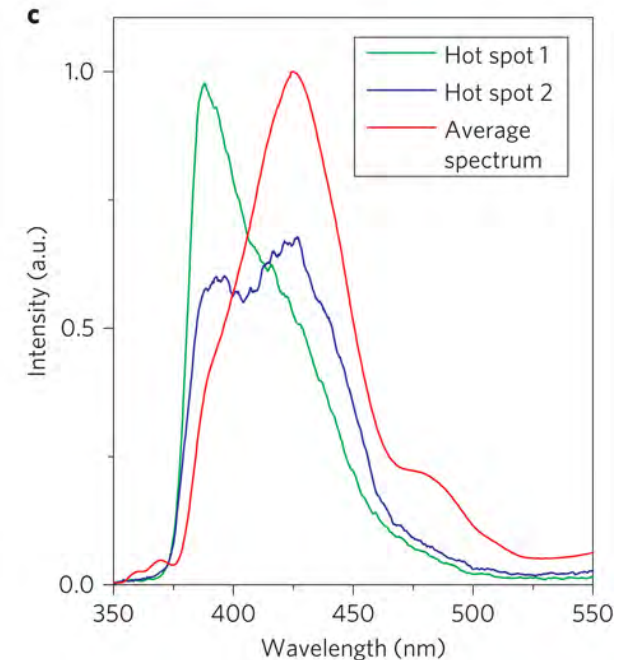
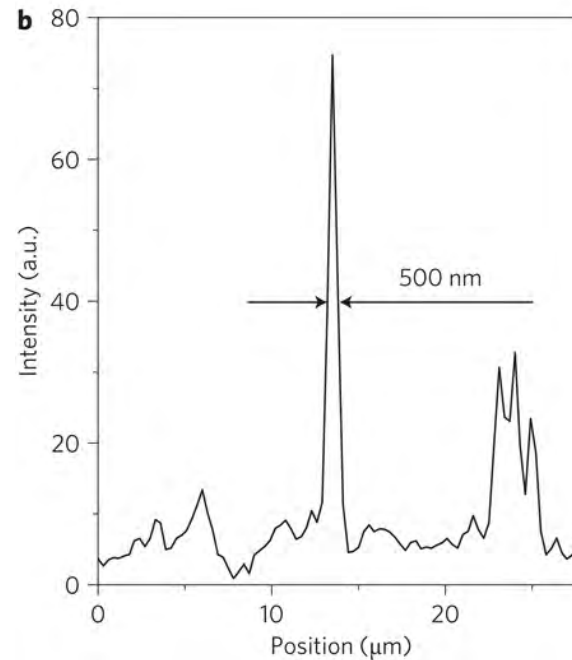
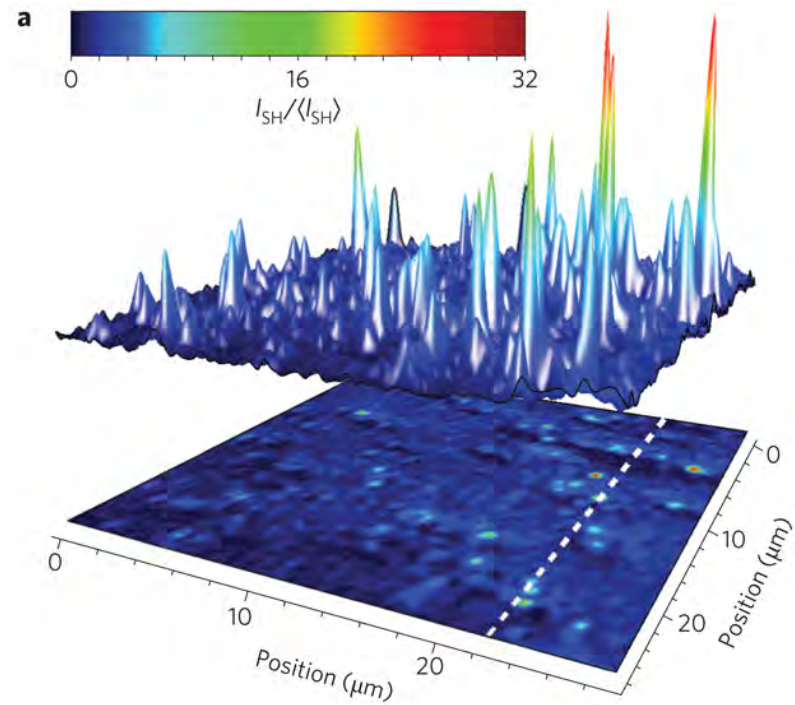




# Multifractality: Experiment III

Localization of light  
in an array of dielectric  
nano-needles

Mascheck et al,  
Nature Photonics '12



# Disordered electronic systems: Symmetry classification

Altland, Zirnbauer '97

## Conventional (Wigner-Dyson) classes

	T	spin	rot.	symbol
GOE	+	+		AI
GUE	-	+/-		A
GSE	+	-		AII

## Chiral classes

	T	spin	rot.	symbol
ChOE	+	+		BDI
ChUE	-	+/-		AIII
ChSE	+	-		CII

$$H = \begin{pmatrix} 0 & t \\ t^\dagger & 0 \end{pmatrix}$$

## Bogoliubov-de Gennes classes

	T	spin	rot.	symbol
	+	+		CI
	-	+		C
	+	-		DIII
	-	-		D

$$H = \begin{pmatrix} h & \Delta \\ -\Delta^* & -h^T \end{pmatrix}$$

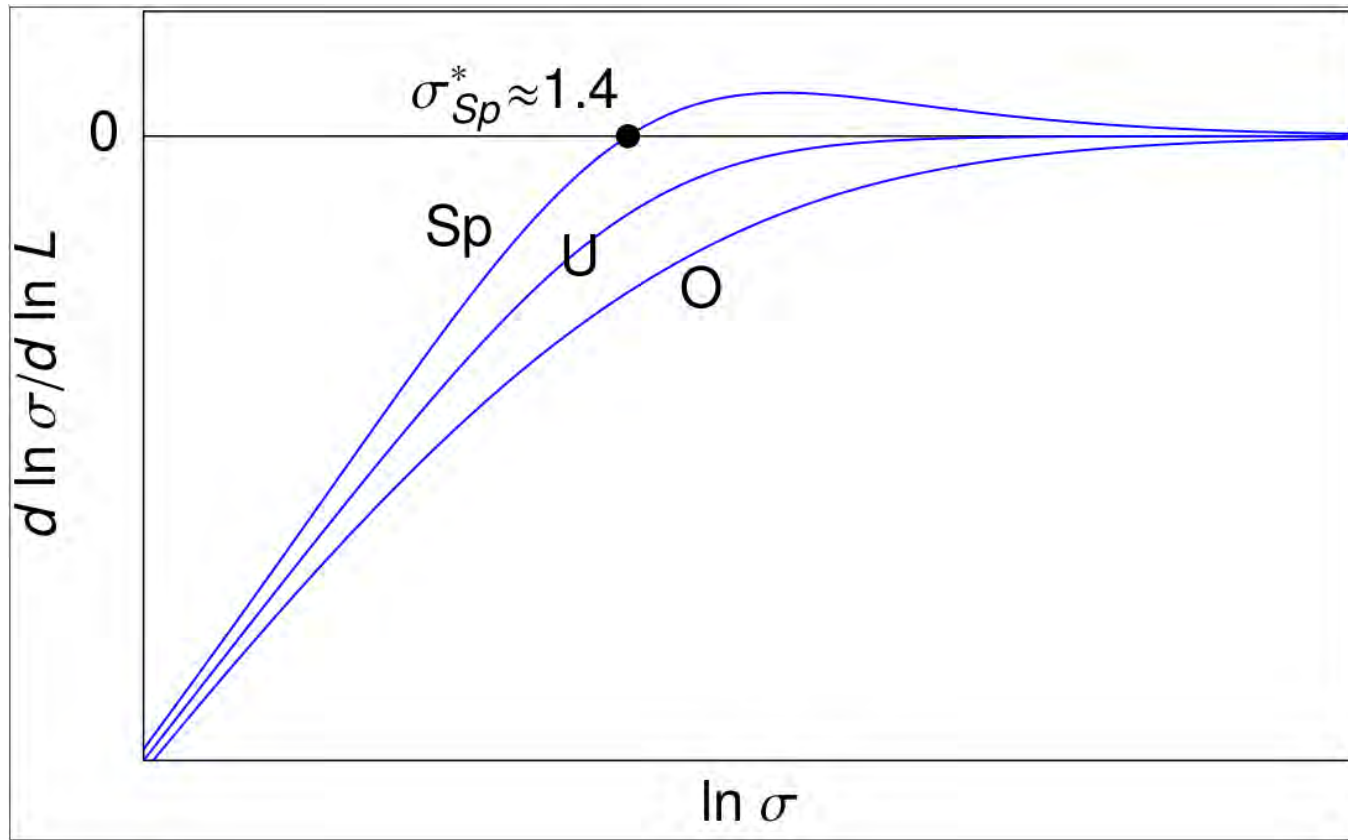
# Disordered electronic systems: Symmetry classification

↔ classification of symmetric spaces    Zirnbauer'96, Altland, Zirnbauer'97

Ham. class	RMT	T	S	compact symmetric space	non-compact symmetric space	$\sigma$ -model B F	$\sigma$ -model compact sector $\mathcal{M}_F$
<b>Wigner-Dyson classes</b>							
A	GUE	-	$\pm$	$U(N)$	$GL(N, \mathbb{C})/U(N)$	AIII AIII	$U(2n)/U(n) \times U(n)$
AI	GOE	+	+	$U(N)/O(N)$	$GL(N, \mathbb{R})/O(N)$	BDI CII	$Sp(4n)/Sp(2n) \times Sp(2n)$
AII	GSE	+	-	$U(2N)/Sp(2N)$	$U^*(2N)/Sp(2N)$	CII BDI	$O(2n)/O(n) \times O(n)$
<b>chiral classes</b>							
AIII	chGUE	-	$\pm$	$U(p+q)/U(p) \times U(q)$	$U(p, q)/U(p) \times U(q)$	A A	$U(n)$
BDI	chGOE	+	+	$SO(p+q)/SO(p) \times SO(q)$	$SO(p, q)/SO(p) \times SO(q)$	AI AII	$U(2n)/Sp(2n)$
CII	chGSE	+	-	$Sp(2p+2q)/Sp(2p) \times Sp(2q)$	$Sp(2p, 2q)/Sp(2p) \times Sp(2q)$	AII AI	$U(n)/O(n)$
<b>Bogoliubov - de Gennes classes</b>							
C		-	+	$Sp(2N)$	$Sp(2N, \mathbb{C})/Sp(2N)$	DIII CI	$Sp(2n)/U(n)$
CI		+	+	$Sp(2N)/U(N)$	$Sp(2N, \mathbb{R})/U(N)$	D C	$Sp(2n)$
BD		-	-	$SO(N)$	$SO(N, \mathbb{C})/SO(N)$	CI DIII	$O(2n)/U(n)$
DIII		+	-	$SO(2N)/U(N)$	$SO^*(2N)/U(N)$	C D	$O(n)$

Universality classes:    Spatial dimensionality, symmetry, topology

## Role of symmetry: 2D systems of Wigner-Dyson classes



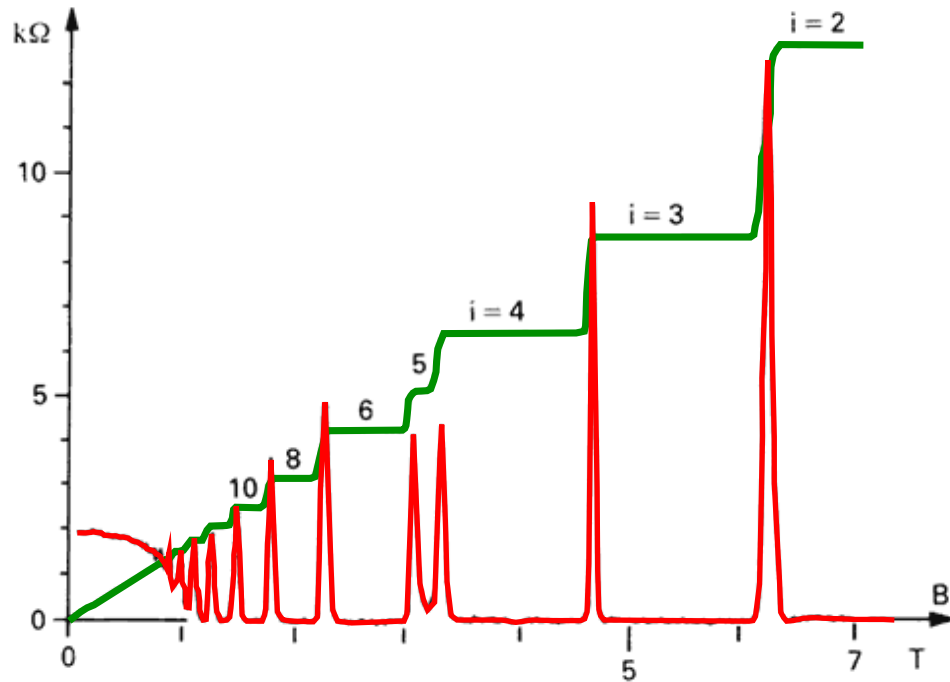
**Orthogonal and Unitary:** localization;  
parametrically different localization length:  $\xi_U \gg \xi_O$

**Symplectic:** metal-insulator transition

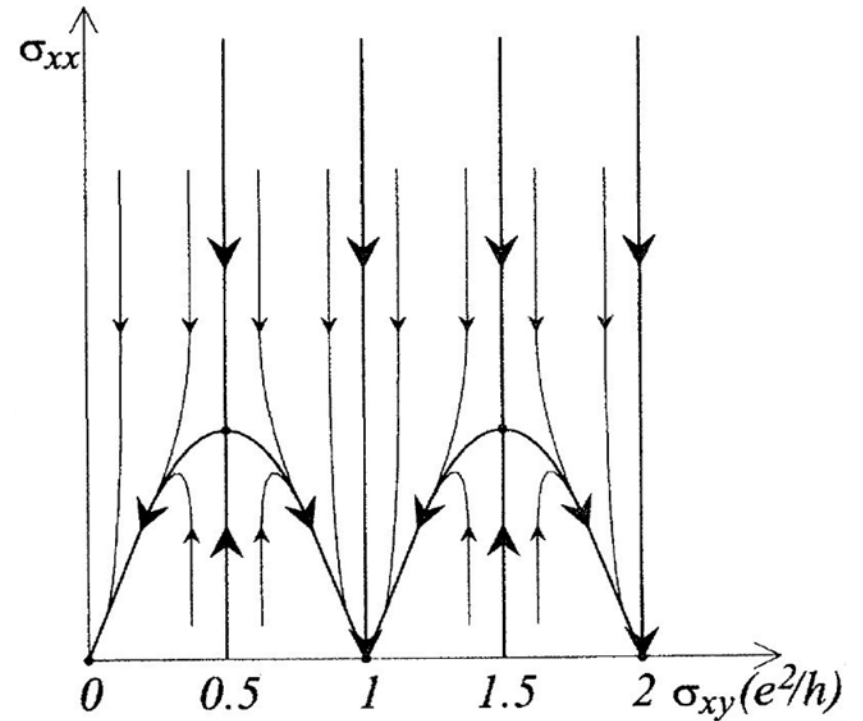
Usual realization of Sp class: spin-orbit interaction

# Anderson localization & topology:

# Integer Quantum Hall Effect



von Klitzing '80 ; Nobel Prize '85



IQHE flow diagram

Khmelnitskii' 83, Pruisken' 84

Field theory (Pruisken):

$\sigma$ -model with topological term

$$S = \int d^2r \left\{ -\frac{\sigma_{xx}}{8} \text{Tr}(\partial_\mu Q)^2 + \frac{\sigma_{xy}}{8} \text{Tr} \epsilon_{\mu\nu} Q \partial_\mu Q \partial_\nu Q \right\}$$

QH insulators  $\longrightarrow n = \dots, -2, -1, 0, 1, 2, \dots$  protected edge states

$\longrightarrow \mathbb{Z}$  topological insulator

localized

localized

critical point



## Periodic table of Topological Insulators

Symmetry classes					Topological insulators			
$p$	$H_p$	$R_p$	$S_p$	$\pi_0(R_p)$	d=1	d=2	d=3	d=4
0	AI	BDI	CII	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$
1	BDI	BD	AII	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
2	BD	DIII	DIII	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
3	DIII	AII	BD	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
4	AII	CII	BDI	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
5	CII	C	AI	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
6	C	CI	CI	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$
7	CI	AI	C	0	0	0	$\mathbb{Z}$	0
0'	A	AIII	AIII	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$
1'	AIII	A	A	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0

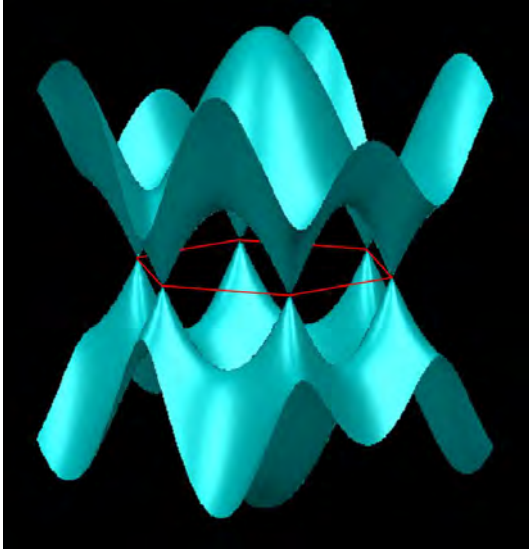
$H_p$  – symmetry class of Hamiltonians

$R_p$  – sym. class of classifying space (of Hamiltonians with eigenvalues  $\rightarrow \pm 1$ )

$S_p$  – symmetry class of compact sector of  $\sigma$ -model manifold

Kitaev'09; Schnyder, Ryu, Furusaki, Ludwig'09; Ostrovsky, Gornyi, ADM'10

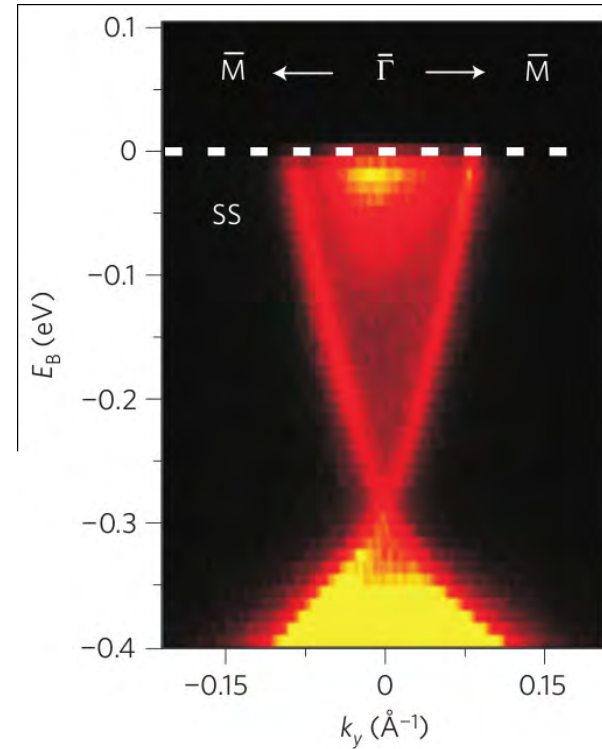
## 2D massless Dirac fermions



Graphene

Geim, Novoselov'04

Nobel Prize'10



Surface of 3D topological insulators

BiSb, BiSe, BiTe

Hasan group '08

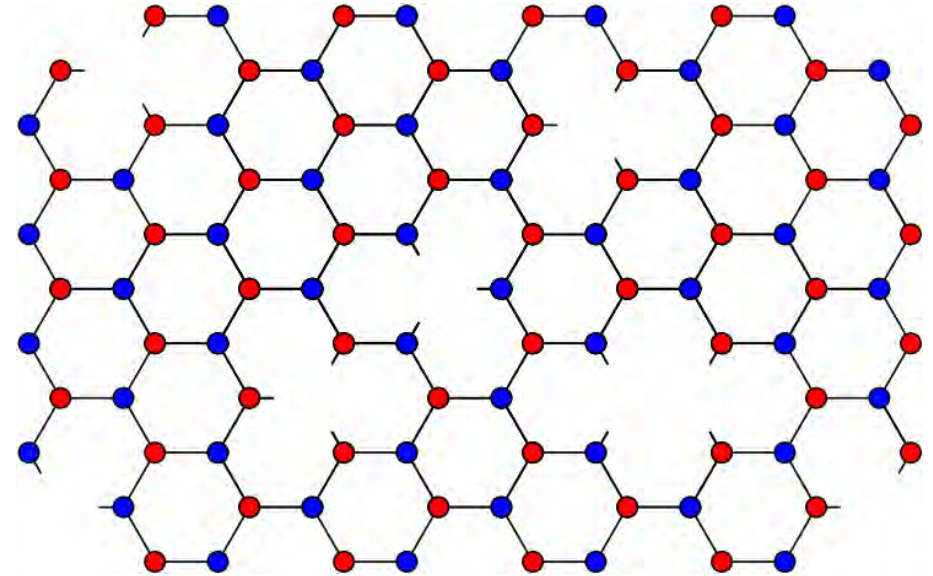
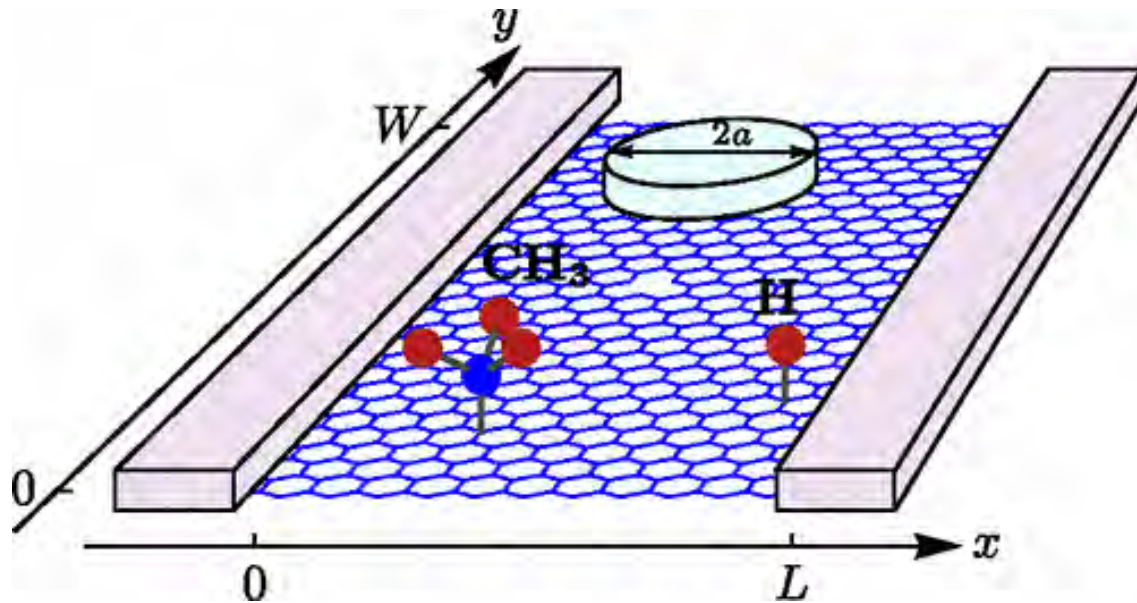
$\sigma$ -model field theory with a topological term

Ostrovsky, Gornyi, ADM '07

- Graphene: long-range disorder (no valley mixing)
- Surface states of 3D TI: no restriction on disorder range

# Role of symmetry and topology: Graphene at the Dirac point

Ostrovsky et al, PRL'10; Gattenlöhner et al, PRL'14

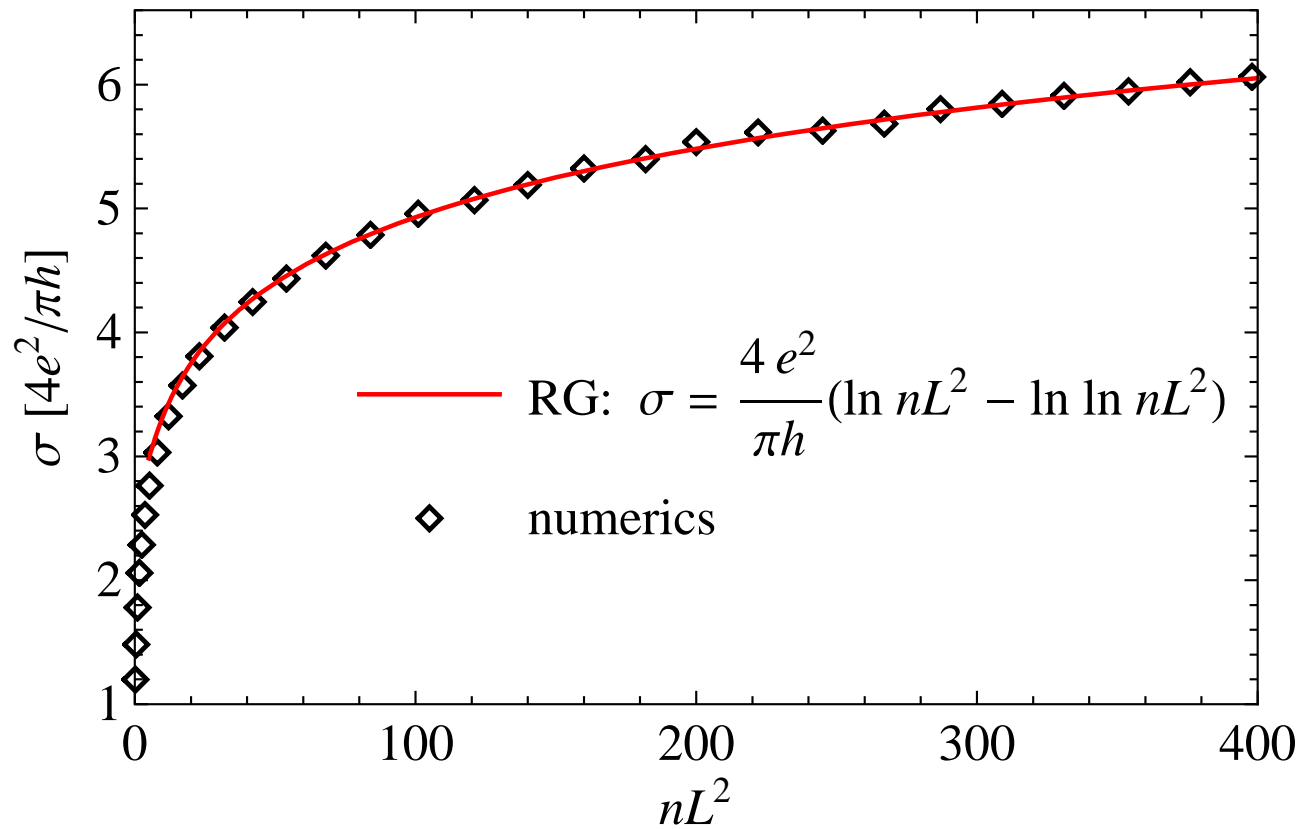


Models of scatterers:

- **scalar impurity**: smooth on atomic scale (no valley mixing)
- **resonant scalar impurity**: diverging scattering length, quasi-bound state at the Dirac point
- **adatom**: on-site potential (valley mixing)
- **vacancy**: infinitely strong on-site potential

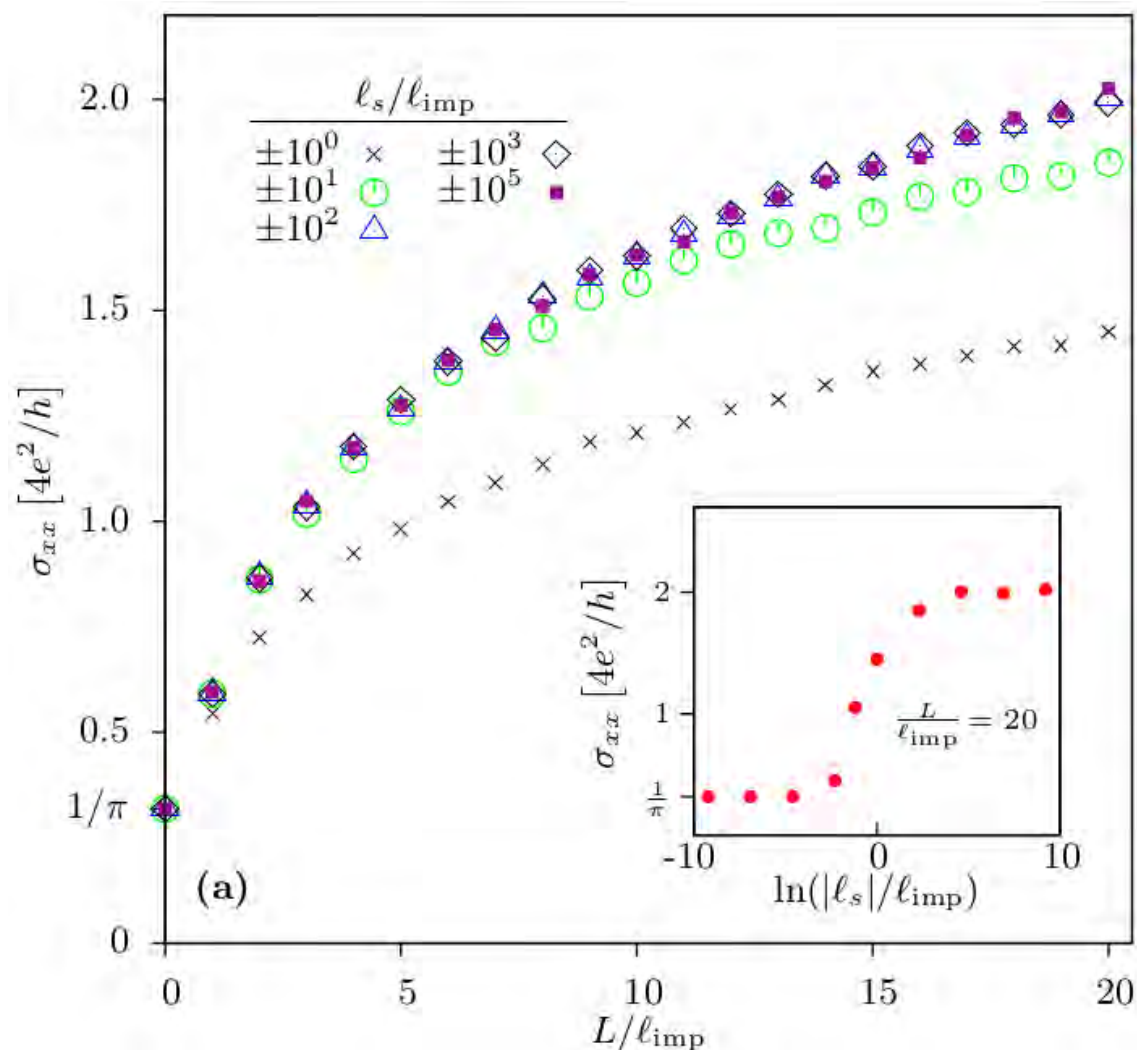
# Resonant scalar impurities ( $l_s = \infty$ )

Ostrovsky, Titov, Bera, Gornyi, ADM, PRL (2010)



- flow towards supermetal  $\sigma \rightarrow \infty$
- agreement with  $\sigma$  model RG

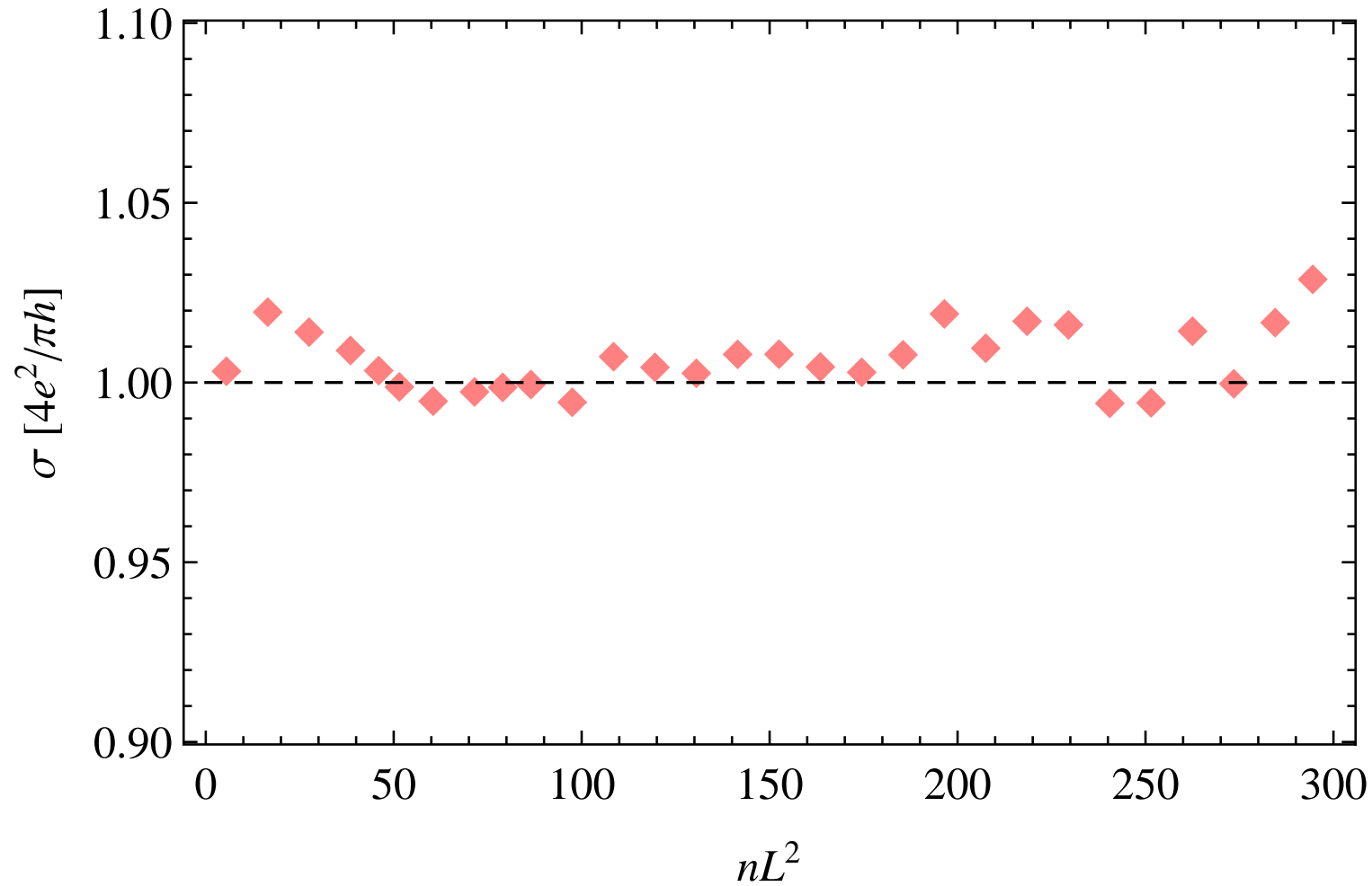
# Scalar impurities (finite $l_s$ , random sign)



Large  $l_s$   $\longrightarrow$  Symmetry breaking pattern:

DIII (with WZ term)  $\longrightarrow$  AII (with  $\mathbb{Z}_2$   $\theta$ -term)

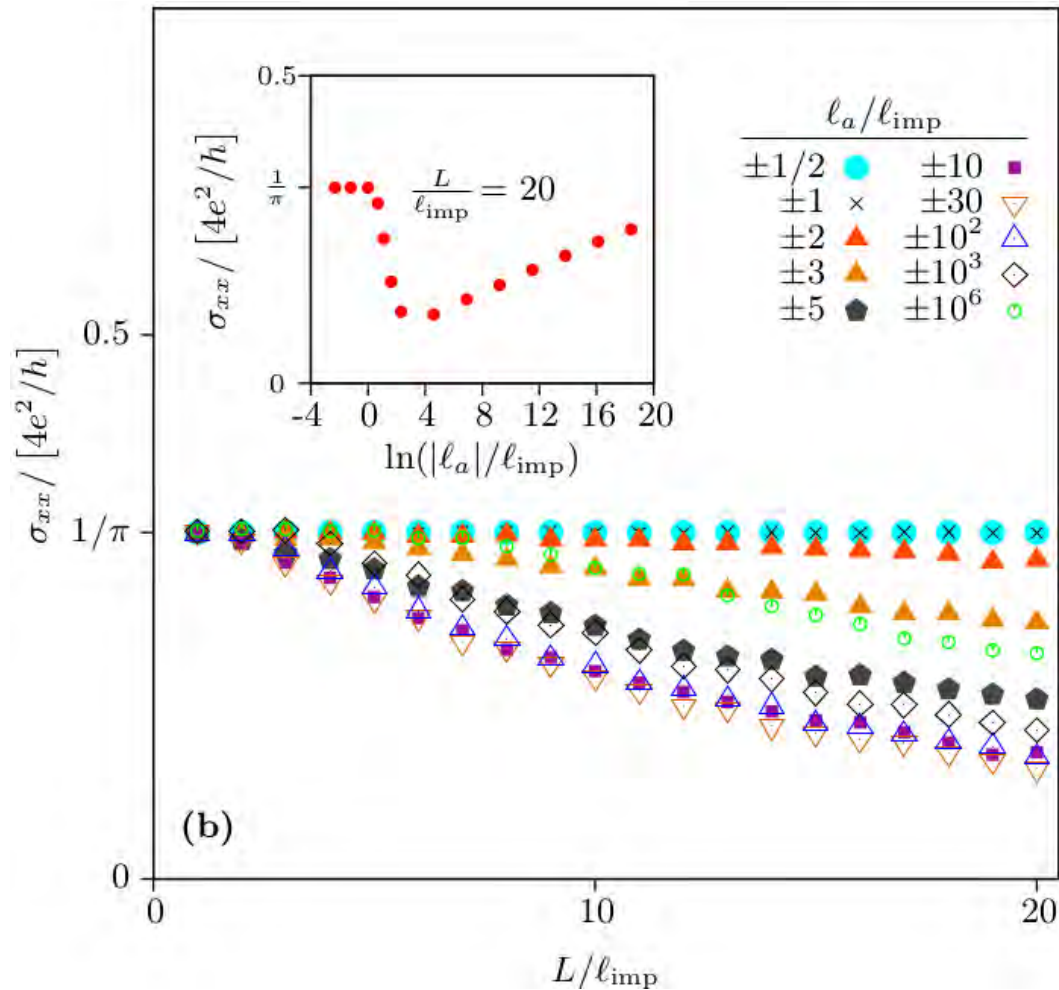
# Vacancies



symmetry class BDI (chiral orthogonal)

**No localization,**  $\sigma \rightarrow \text{const} \simeq \frac{4e^2}{\pi h}$

# Adatoms (finite $l_a$ , random sign)



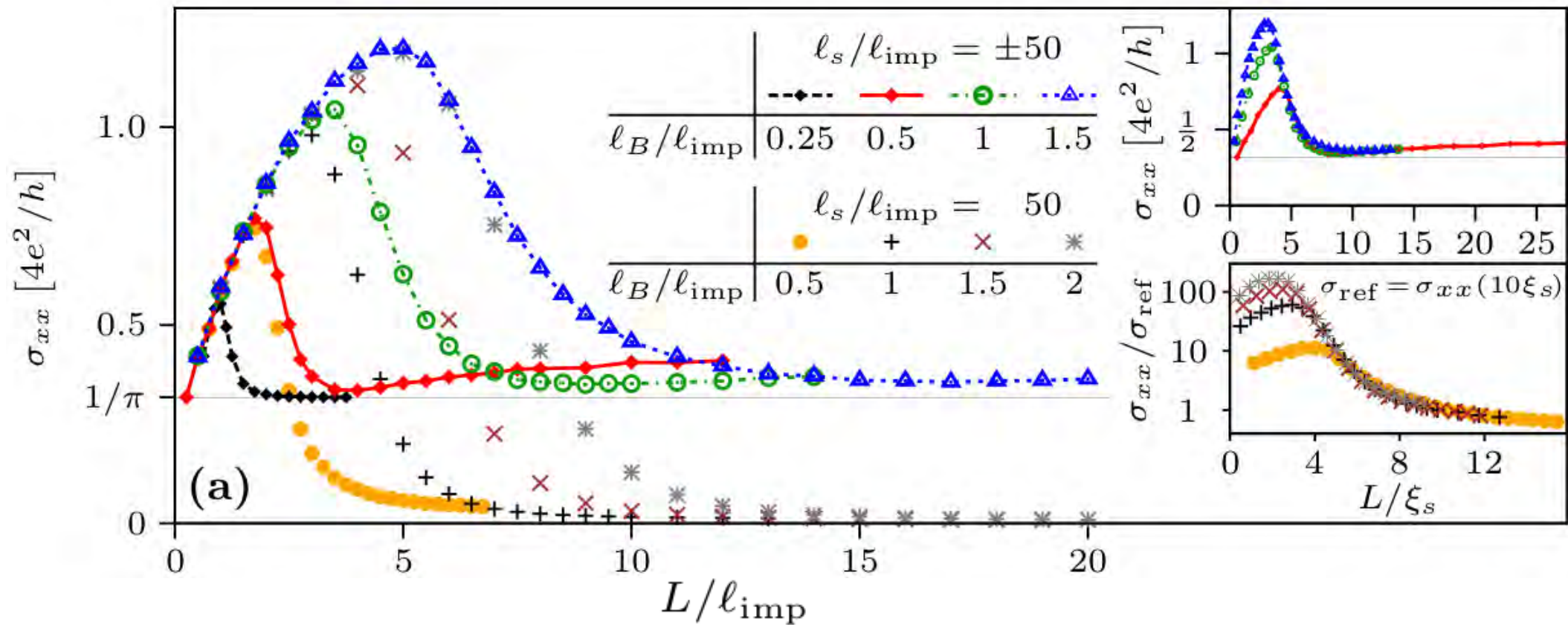
Large  $l_a \longrightarrow$  Symmetry breaking pattern: BDI  $\longrightarrow$  AI

**Vacancies** ( $l_a \rightarrow \infty$ ): finite conductivity  $\sigma \simeq \frac{4e^2}{\pi h}$  for  $L \rightarrow \infty$

Localization length  $\xi$  – non-monotonous function of  $l_a$



## Scalar impurities in magnetic field $B$

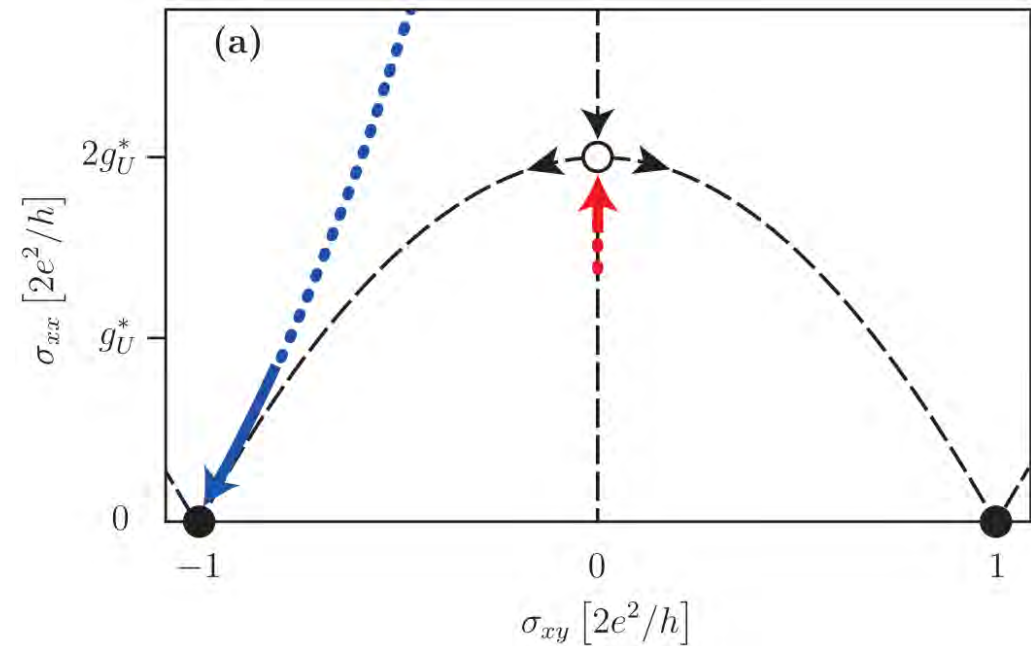
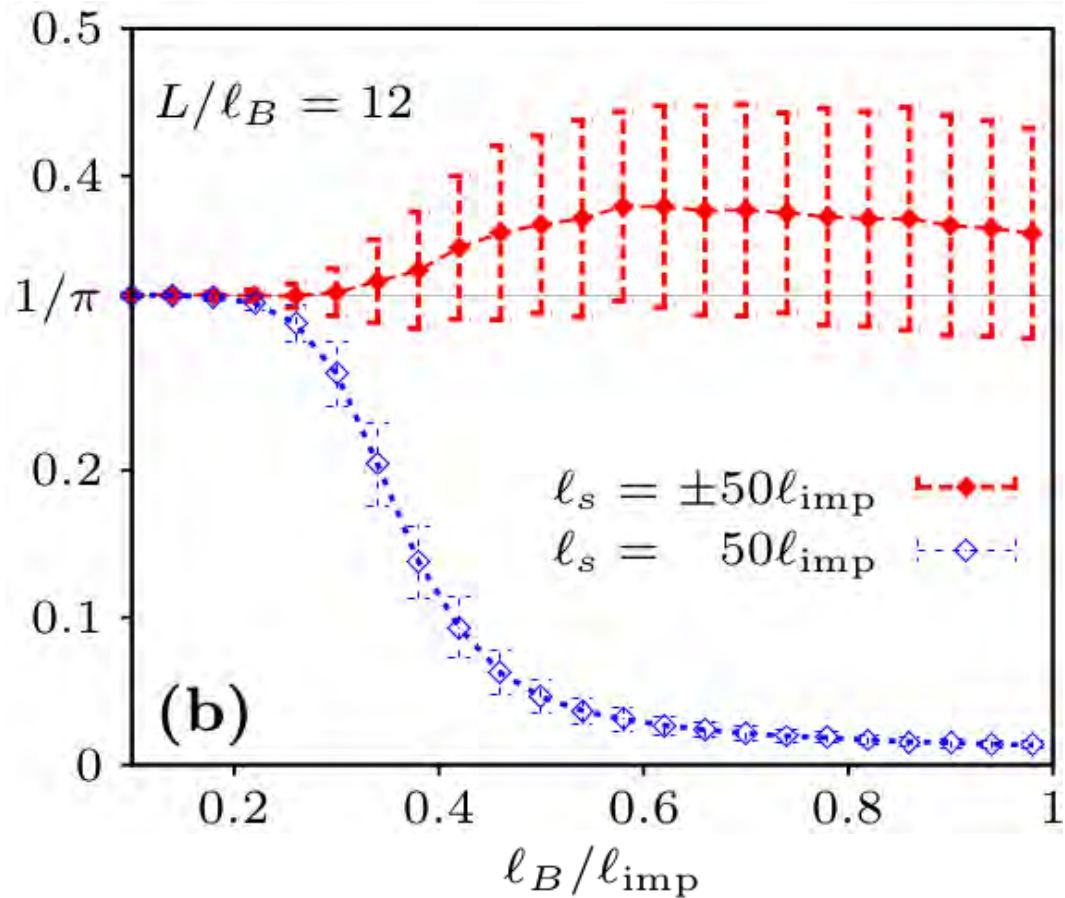


Symmetry breaking pattern:  $\text{DIII} \rightarrow \text{AII} \rightarrow \text{A}$  for weaker  $B$   
 and  $\text{DIII} \rightarrow \text{AIII} \rightarrow \text{A}$  for stronger  $B$

Ultimate fixed points: Quantum Hall criticality (random sign of impurity potentials) and localization (fixed sign)

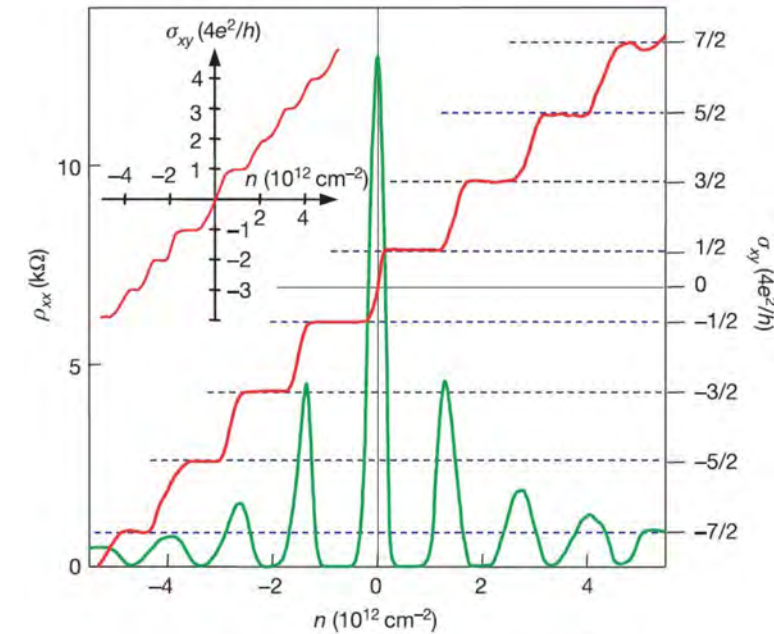
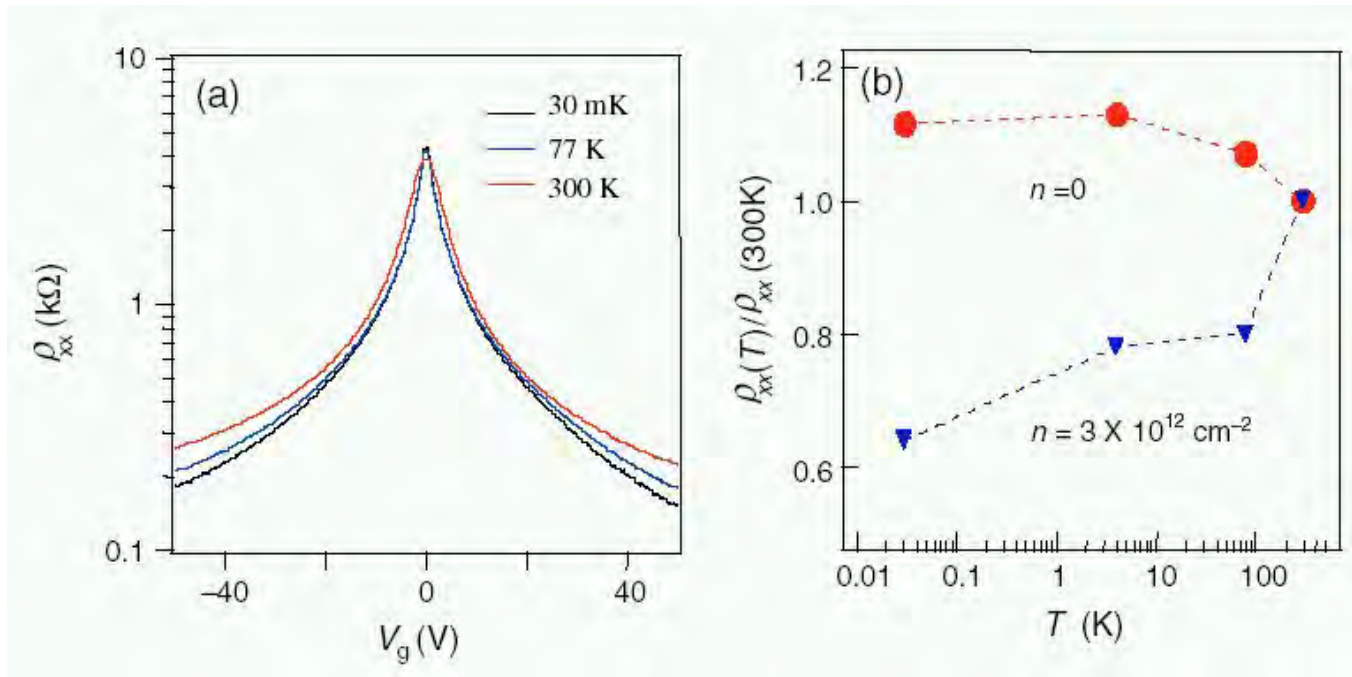


# Scalar impurities in magnetic field $B$



**Ultimate fixed points:** Quantum Hall criticality (random sign of impurity potentials) and localization (fixed sign)

Vertical bars: mesoscopic fluctuations



Topological terms explain unconventional properties of high-quality graphene samples:

- absence of localization at Dirac point down to very low temperatures (30 mK), although conductivity  $\simeq e^2/h$  per spin per valley
- anomalous QHE:  $\sigma_{xy} = (2n + 1) \times 2e^2/h$ ;  
QHE transition at  $n = 0$  (Dirac point), i.e. at  $\sigma_{xy} = 0$

# Electron-electron interaction effects

- **Renormalization**

Virtual processes, energy transfer  $\gtrsim T$ ,  
become stronger when  $T$  is lowered

- mutual renormalisation of resistivity and interaction,
- zero- $T$  phase diagram and quantum phase transitions
- effect of disorder on superconducting and magnetic instabilities

- **Dephasing**

Real inelastic scattering processes, energy transfer  $\lesssim T$ ,  
become weaker when  $T$  is lowered

- dephasing of quantum interference
- decay of single-particle excitations
- finite- $T$  broadening of localization quantum phase transitions
- finite- $T$  many-body (de-)localization

## Interacting non-linear sigma model (NL $\sigma$ M)

$$\begin{aligned}
 S = & -\frac{g}{32} \int dr \operatorname{Tr}(\nabla Q)^2 + 4\pi T Z_\omega \int dr \operatorname{Tr} \eta Q \\
 & -\frac{\pi T}{4} \Gamma_s \sum_{\alpha, n} \sum_{r=0,3} \int dr \operatorname{Tr} \left[ I_n^\alpha t_{r0} Q \right] \operatorname{Tr} \left[ I_{-n}^\alpha t_{r0} Q \right] \\
 & -\frac{\pi T}{4} \Gamma_t \sum_{\alpha, n} \sum_{r=0,3} \sum_{j=1}^3 \int dr \operatorname{Tr} \left[ I_n^\alpha t_r Q \right] \operatorname{Tr} \left[ I_{-n}^\alpha t_r Q \right] \\
 & -\frac{\pi T}{2} \Gamma_c \sum_{\alpha, n} \sum_{r=0,3} (-1)^r \int dr \operatorname{Tr} \left[ I_n^\alpha t_{r0} Q I_n^\alpha t_{r0} Q \right]
 \end{aligned}$$

$g$  – conductivity (in  $e^2/h$ )       $Z_\omega$  – frequency renormalization

$\Gamma_s$ ,  $\Gamma_t$ ,  $\Gamma_c$  – singlet, triplet, and Cooper interaction amplitudes

$Q(\mathbf{r}) = T^{-1}(\mathbf{r}) \Lambda T(\mathbf{r})$  – matrix in replica, Matsubara, spin, and p-h

$$\Lambda_{nm}^{\alpha\beta} = \operatorname{sgn} n \delta_{nm} \delta^{\alpha\beta} t_{00} \quad \eta_{nm}^{\alpha\beta} = n \delta_{nm} \delta^{\alpha\beta} t_{00} \quad (I_k^\gamma)_{nm}^{\alpha\beta} = \delta_{n-m,k} \delta^{\alpha\beta} \delta^{\alpha\gamma} t_{00}$$

$\alpha, \beta$  – replica indices ;     $n, m$  – Matsubara indices

$t_{rj} = \tau_r \otimes s_j$  – Pauli matrices in particle-hole and spin spaces

# Renormalization group for interacting NL $\sigma$ M in 2D

$$\frac{dt}{dy} = t^2 \left[ 1 + f(\gamma_s) + 3f(\gamma_t) - \gamma_c \right]$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2} (1 + \gamma_s) (\gamma_s + 3\gamma_t + 2\gamma_c + 4\gamma_c^2)$$

$$\frac{d\gamma_t}{dy} = -\frac{t}{2} (1 + \gamma_t) \left[ \gamma_s - \gamma_t - 2\gamma_c (1 + 2\gamma_t - 2\gamma_c) \right]$$

$$\begin{aligned} \frac{d\gamma_c}{dy} = & -2\gamma_c^2 - \frac{t}{2} \left[ (1 + \gamma_c) (\gamma_s - 3\gamma_t) - 2\gamma_c^2 + 4\gamma_c^3 \right. \\ & \left. + 6\gamma_c (\gamma_t - \ln(1 + \gamma_t)) \right] \end{aligned}$$

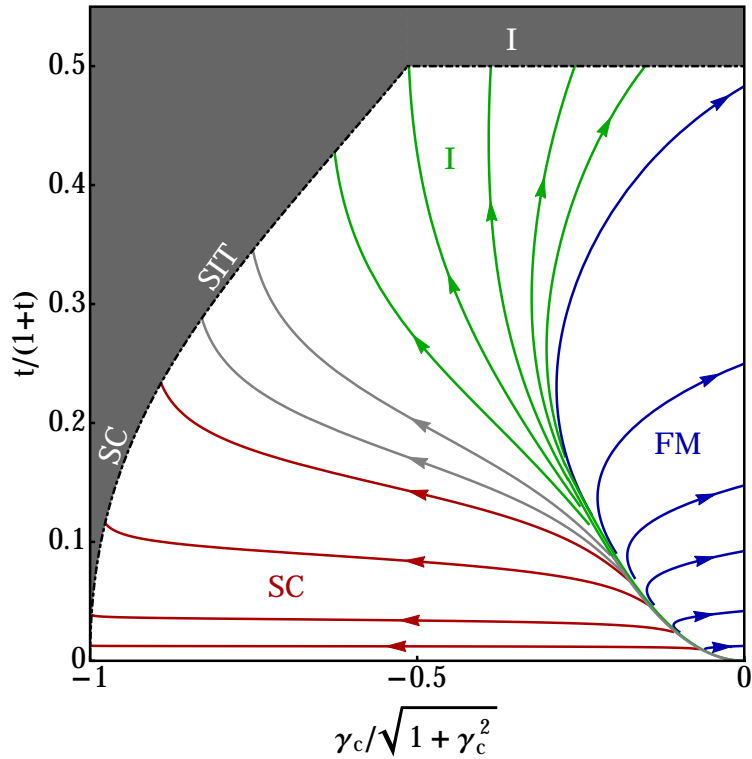
$$\frac{d \ln Z_\omega}{dy} = \frac{t}{2} (\gamma_s + 3\gamma_t + 2\gamma_c + 4\gamma_c^2)$$

$y = \ln(L/l)$  – running RG scale       $f(x) = 1 - (1 + 1/x) \ln(1 + x)$

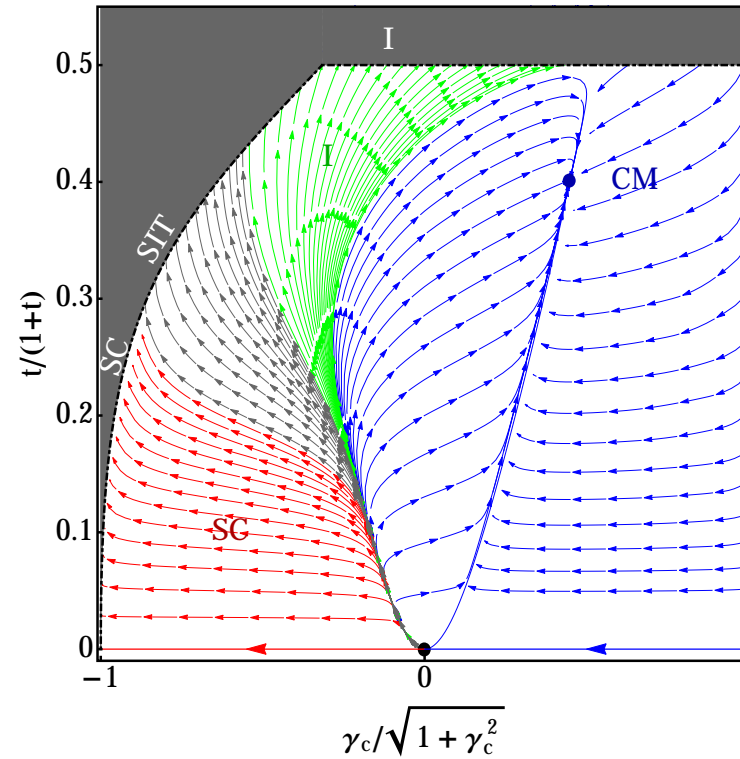
$\gamma_i = \Gamma_i/Z_\omega$        $t = 2/\pi g$  – dimensionless resistance

Burmistrov, Gornyi, ADM, PRL'12, PRB'15

## 2D phase diagrams



Coulomb interaction,  
preserved spin symmetry



Coulomb interaction, spin-orbit  
coupling (broken spin symmetry)

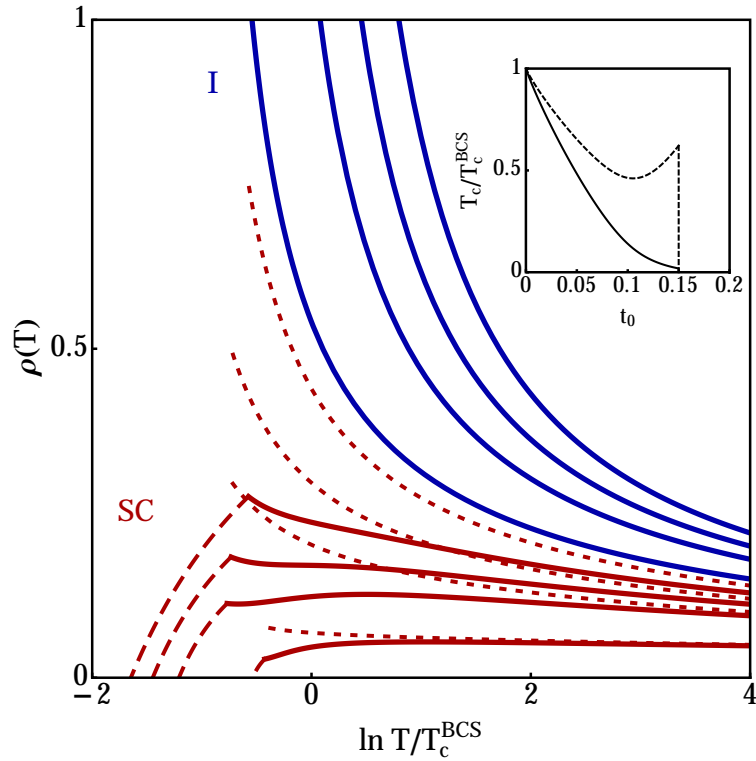
I – insulating, SC – superconducting, FM – ferromagnetic,  
CM – critical metal ( $t \sim 1$ )

In some cases (SO coupling + short-range interaction or several species)  
a "supermetal" phase ( $t \rightarrow 0$ ) also arises.

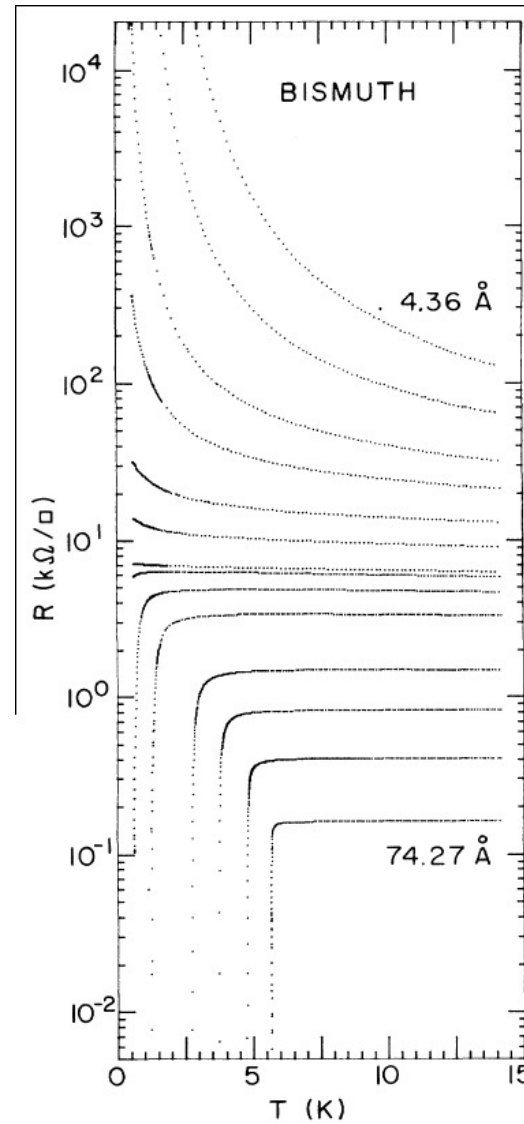
Burmistrov, Gornyi, ADM, PRL'12, PRB'15



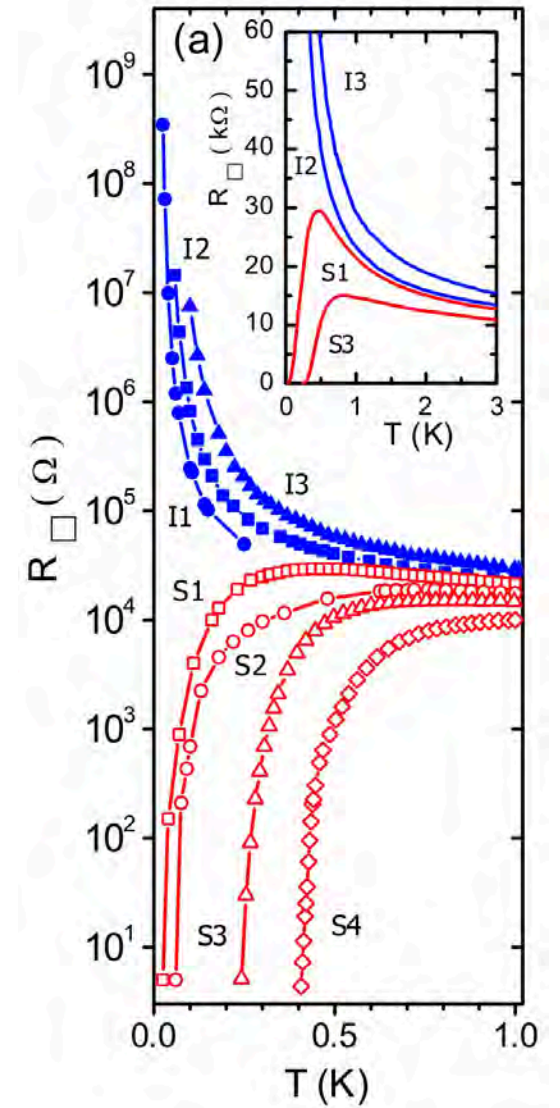
# T dependence of resistivity across SIT: Coulomb interaction



Burmistrov, Gornyi, ADM,  
PRL'12, PRB'15



experiment: Bi and Pb films  
Haviland, Liu, Goldman, PRL'89

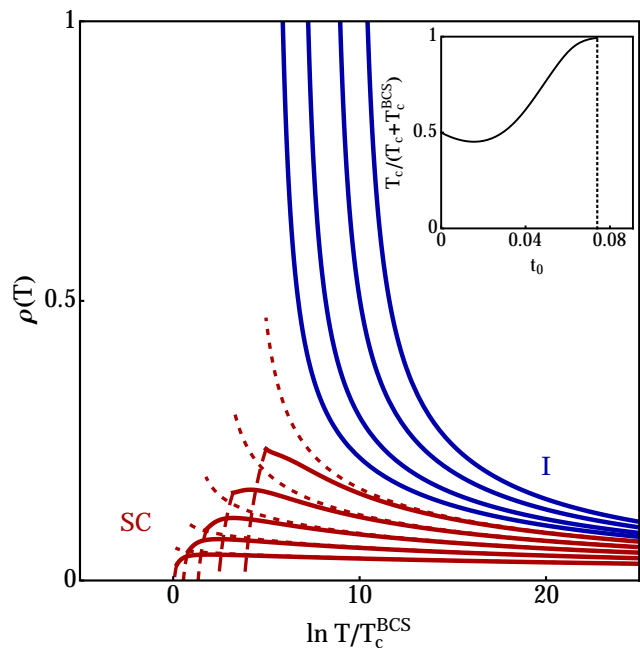


exper.: TiN films  
Baturina et al, PRL'07

suppression of superconductivity by localization + Coulomb int.



# T dependence of resistivity across SIT: Short-range interaction

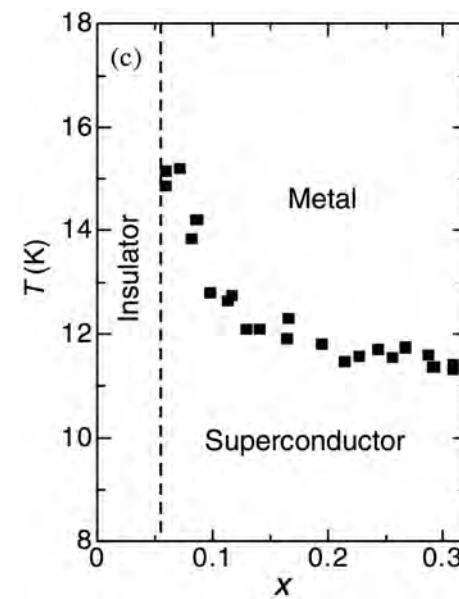
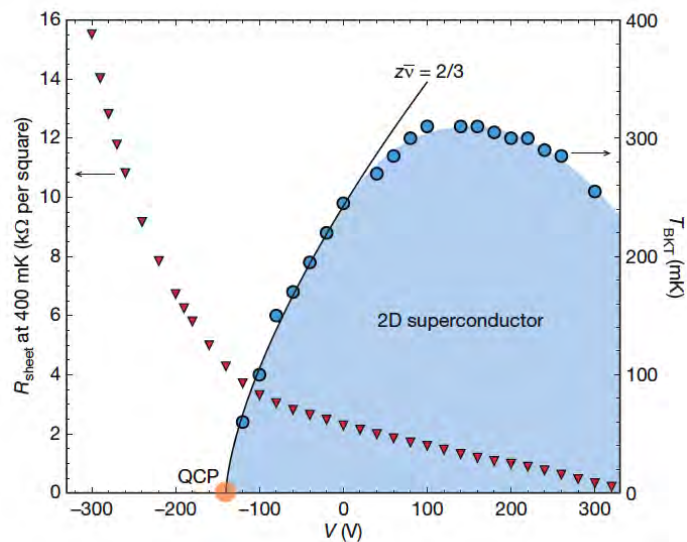
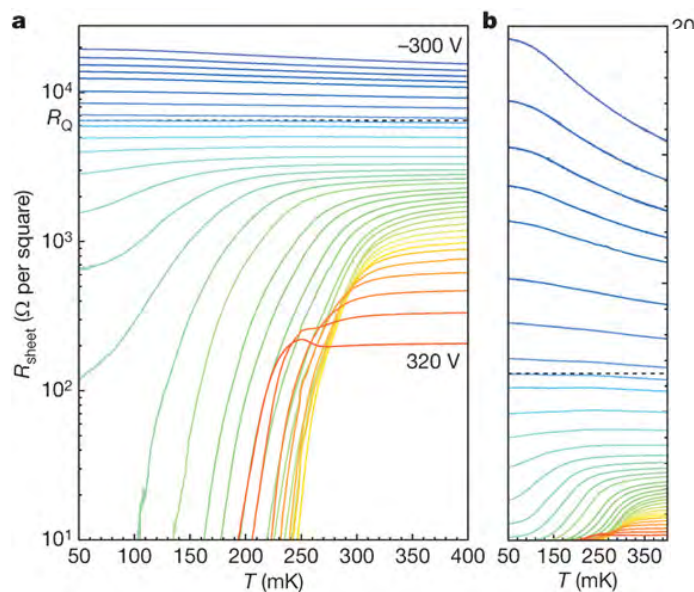


Burmistrov, Gornyi, ADM, PRL'12, PRB'15

enhancement of superconductivity by localization !

can be traced back to multifractality → renormalisation towards stronger interaction

Experimental verification ?



Taguchi et al, PRL'06

$\text{Li}_x\text{ZrNCl}$

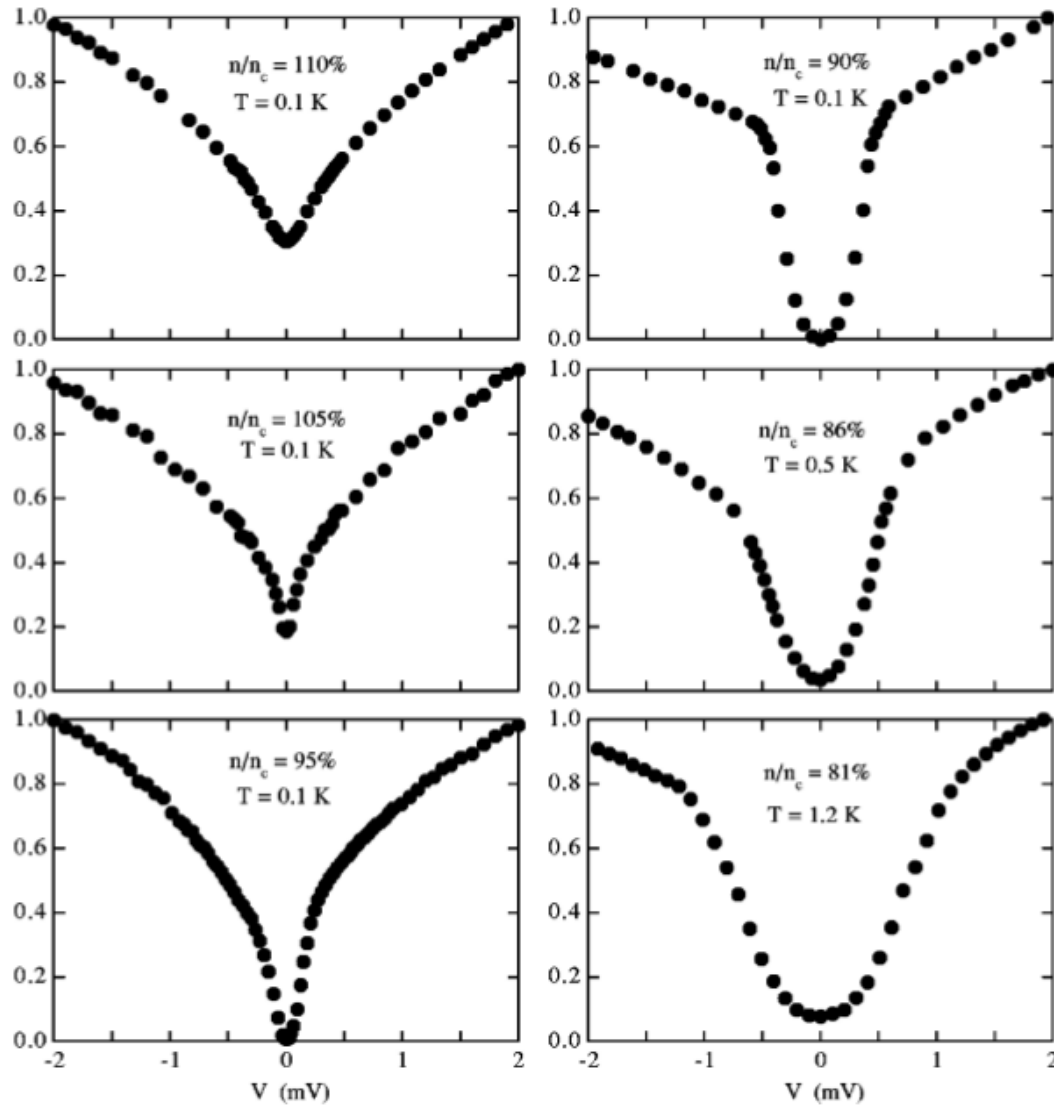
Cavaglia et al, Nature'08  $\text{LaAlO}_3/\text{SrTiO}_3$  interface

# Multifractality and tunneling DOS in systems with Coulomb interaction

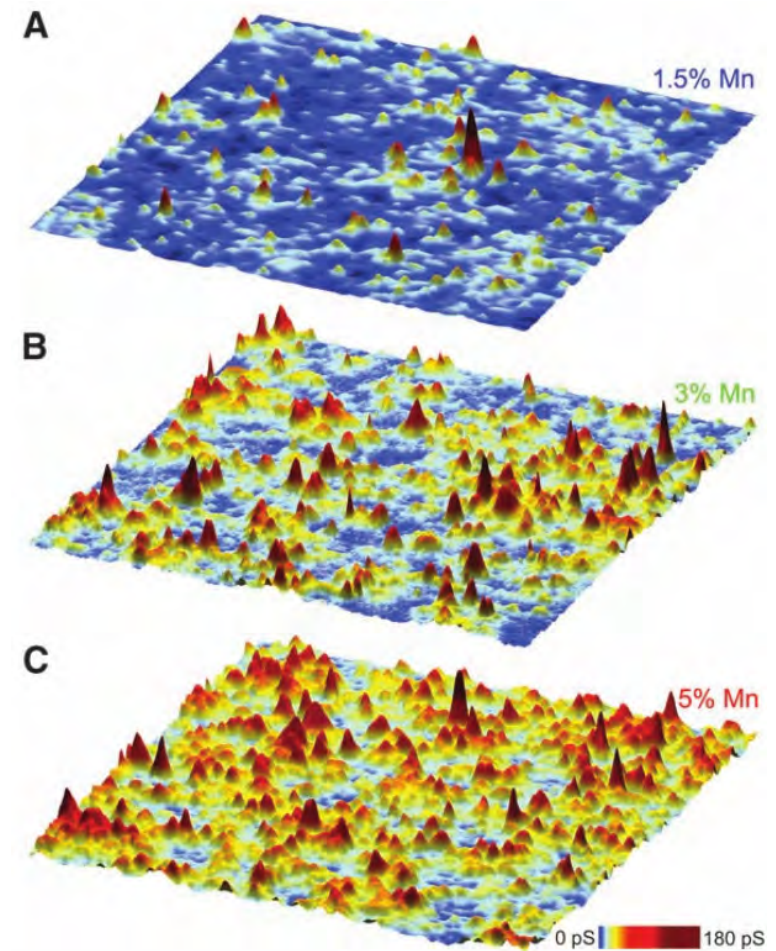
Burmistrov, Gornyi, ADM, PRL 111, 066601 (2013);  
PRB 89, 035430 (2014)  
PRB 91, 085427 (2015)

- Does multifractality survive in the presence of  $1/r$  Coulomb interaction?
- If yes, in what physical observables does it show up?
- What are multifractal exponents in the presence of Coulomb interaction?

# TDOS in systems with Coulomb interaction: Experiments



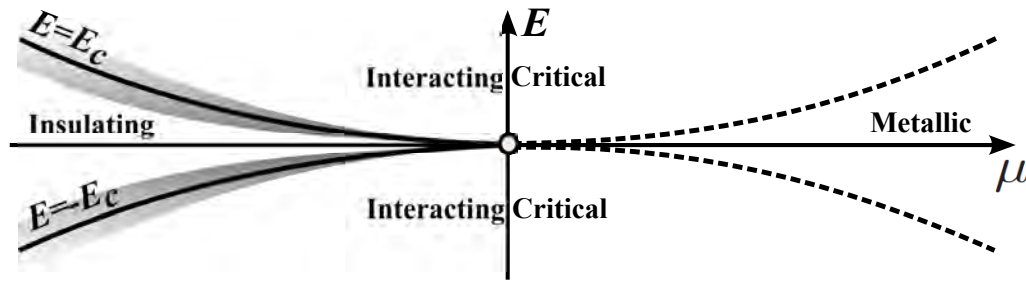
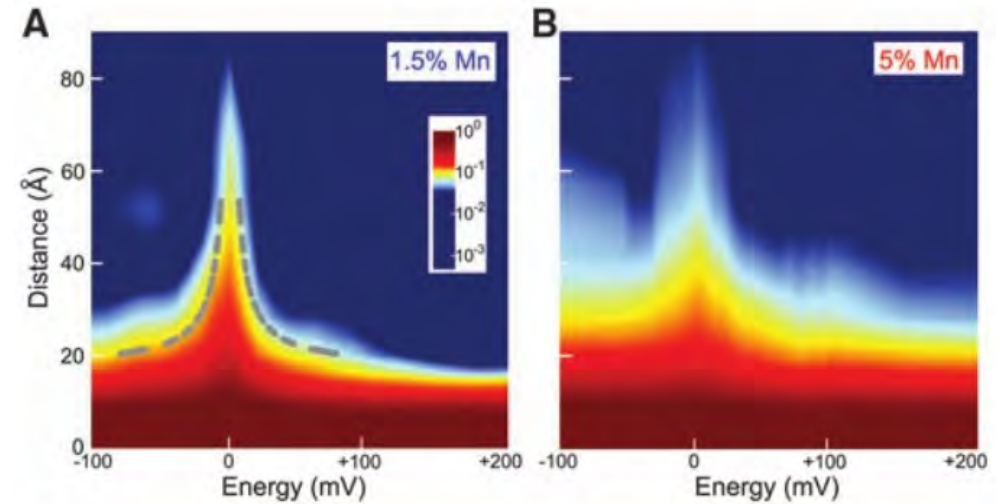
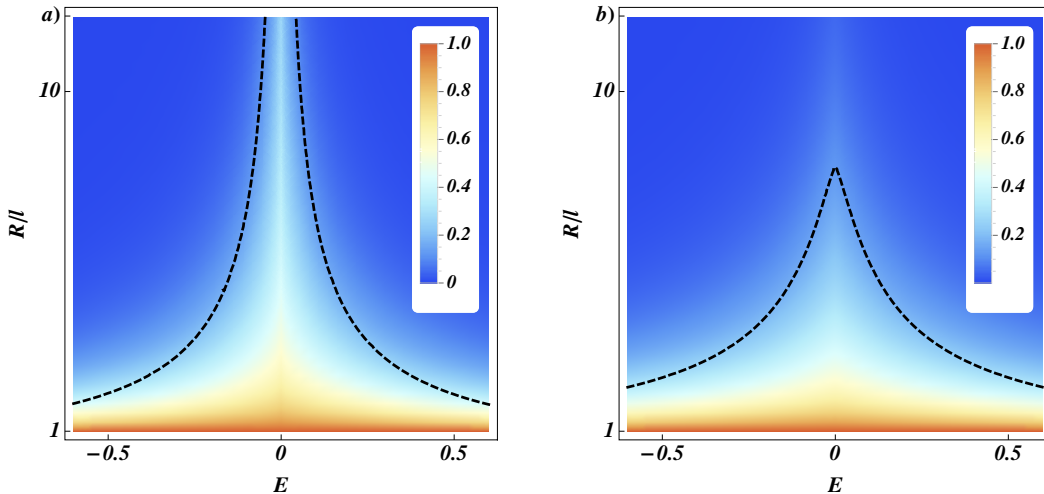
average TDOS, Si:B  
Lee et al, PRB '99



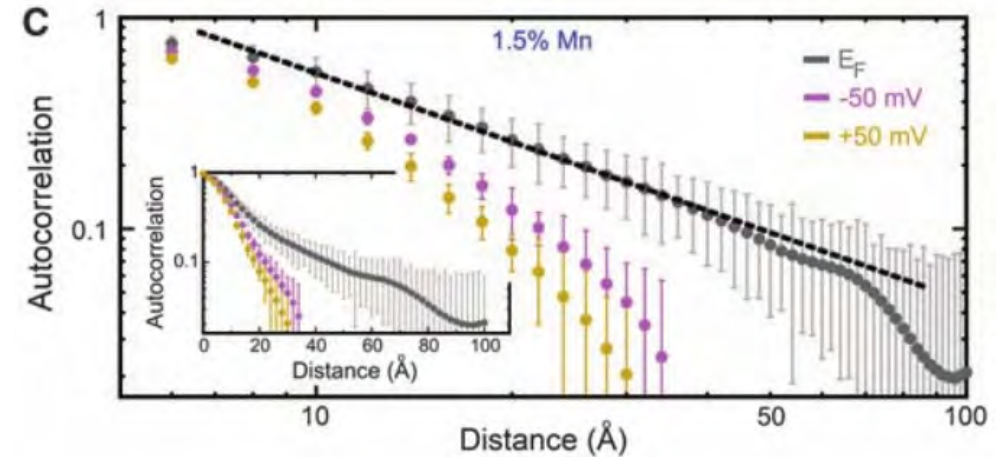
TDOS fluctuations,  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$   
Richardella et al, Science '10

# Multifractal correlations of local TDOS at Anderson transition with Coulomb interaction

$$\langle [\rho(E, \mathbf{r}) - \langle \rho(E) \rangle] [\rho(E, \mathbf{r} + \mathbf{R}) - \langle \rho(E) \rangle] \rangle / \langle \langle \rho^2(E) \rangle \rangle$$



Theory with one-loop exponents  
 $\nu = 1, \quad z = 1.5, \quad \eta = 0.5$

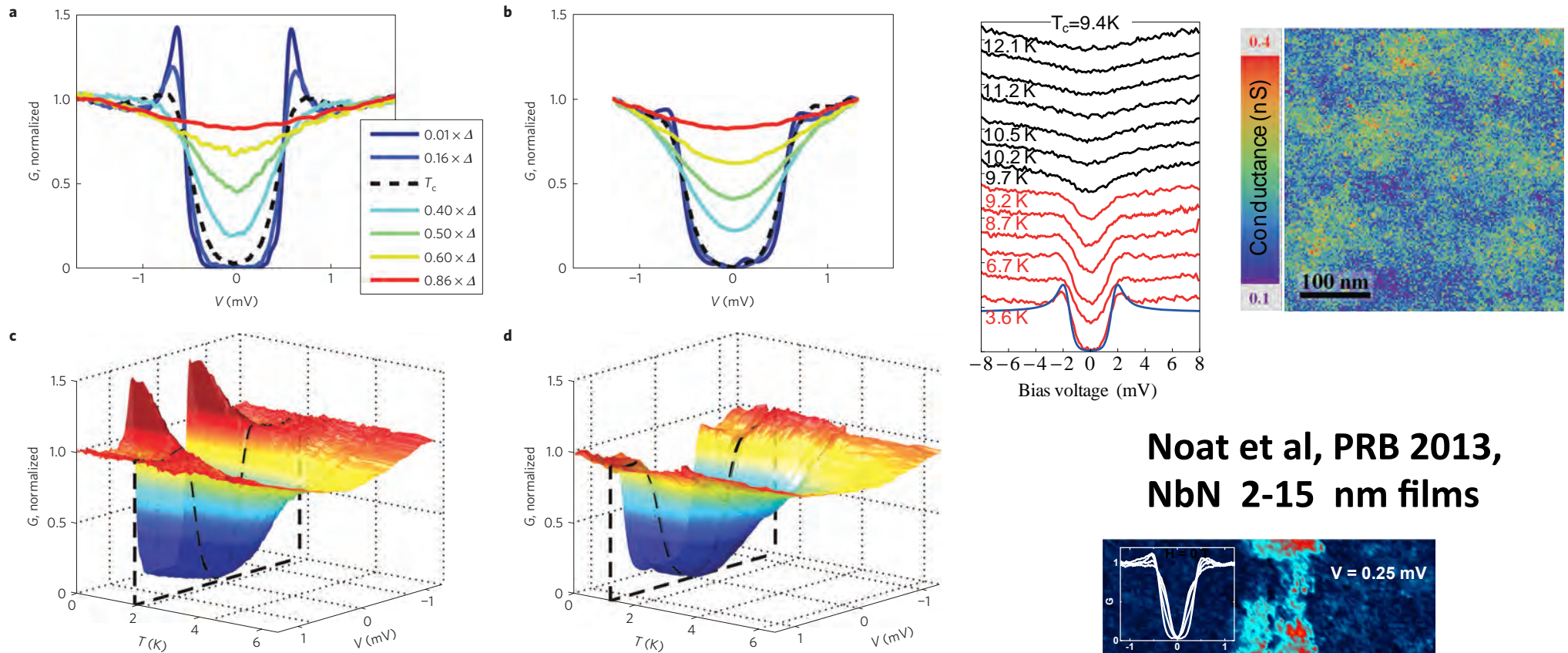


Experiment

Richardella et al, Science '10



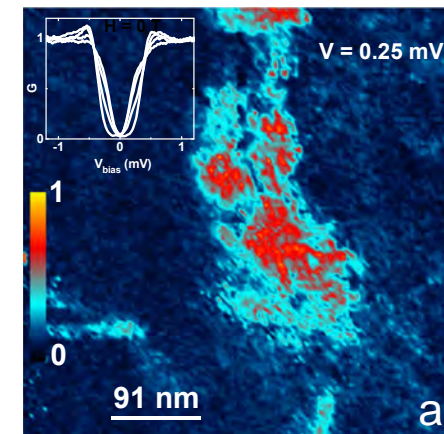
# Tunneling spectroscopy near superconductor-insulator transition



Noat et al, PRB 2013,  
NbN 2-15 nm films

Sacepe et al, Nature Phys. 2011, InO 15-30 nm films

- Soft gap surviving across SIT and superconductor-metal transition
- Strong point-to-point fluctuations of tunneling LDOS



Kulkarni et al, TiN 5nm films

Sigma-model RG theory: [Burmistrov, Gornyi, ADM, arXiv:1603.03017](#)

## Delocalization by inelastic processes

Problem of “many-body localization”:

assume that all single-particle states are localized (e.g., 1D or quasi-1D, or a tight-binding model of any  $d$  with sufficiently strong disorder)

what happens at finite  $T$  (in the absence of external bath)?

Localization, conductivity, other observables – ?

Two opposite limits considered long ago:

**Fleishman, Anderson '80:** low  $T$  (or strong disorder):

Localization in many-body space, zero conductivity

**Altshuler, Aronov, Khmelnitskii '82:** high  $T$ :

dephasing reducing localization to weak-localisation effects, almost classical conductivity

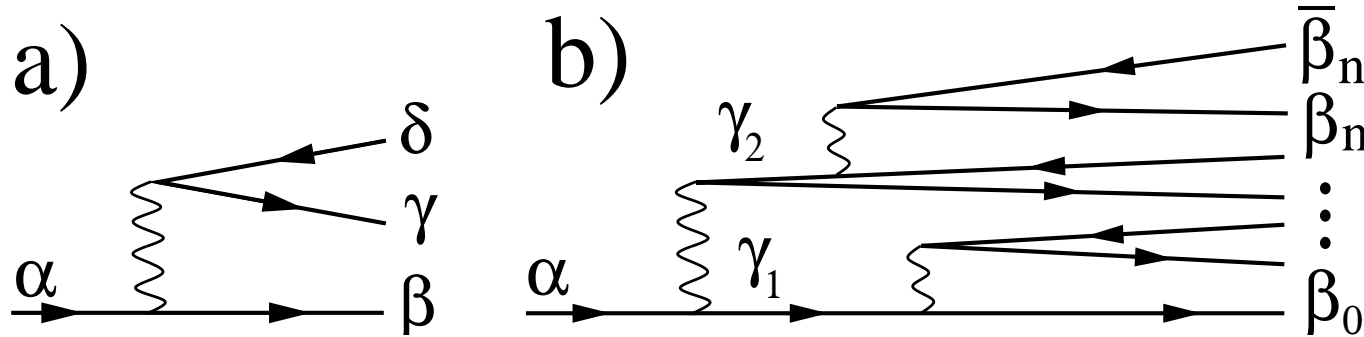
→ there should be a transition at an intermediate  $T$   
(or intermediate disorder strength at fixed  $T$ )

more recently: **Gornyi, ADM, Polyakov '05;**  
**Basko, Aleiner, Altshuler '06, ...**

# Localization in Fock space

Gornyi, ADM, Polyakov '05

Single-particle excitation decay processes:



Lowest order process:  $e \rightarrow eeh \rightarrow$  Golden rule  $\tau_{\phi}^{-1} \sim V^2 / \Delta_{\xi}^{(3)}$

$V \sim \alpha \Delta_{\xi}$  - interaction matrix element,  $\alpha$  - interaction strength,

$\Delta_{\xi}$  - single-particle level spacing in localization volume,

$\Delta_{\xi}^{(3)} \sim \Delta_{\xi}^2 / T$  - three-particle level spacing in localization volume

Golden rule is justified only if  $V > \Delta_{\xi}^{(3)}$ ,

which corresponds to  $T > T_3$ , where  $T_3 \sim \Delta_{\xi} / \alpha$

$V < \Delta_{\xi}^{(3)} \rightarrow$  no transition on the Golden Rule level



# Localization in Fock space and MIT

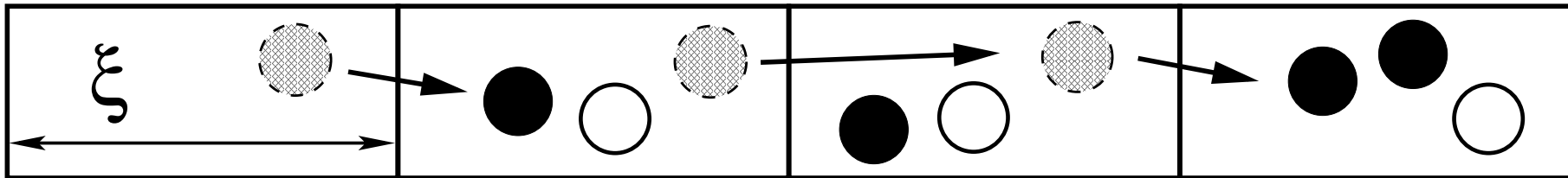
Gornyi, ADM, Polyakov '05

Higher orders?  $\longrightarrow$  have to analyze  $V^{(n)} / \Delta^{(2n+1)}$

$$V^{(n)} = \sum_{\text{diagrams } \gamma_1, \dots, \gamma_{n-1}} \sum V_1 \prod_{i=1}^{n-1} \frac{V_{i+1}}{E_i - \epsilon_{\gamma_i}}$$

$\longrightarrow$  optimal paths: “ballistic”:

a “string” with a few excitations per localization volume



$$\frac{V^{(n)}}{\Delta^{(2n+1)}} \sim \left( \frac{T}{T_3} \right)^n$$

$\longrightarrow$  Localization transition at  $T = T_c \sim T_3$

# Mapping onto Bethe lattice

Gornyi, ADM, Polyakov '05

Interacting problem in Fock space

→ Anderson model on the Bethe lattice

→ Metal-Insulator Transition at

$$\Delta/V = 4 \ln K$$

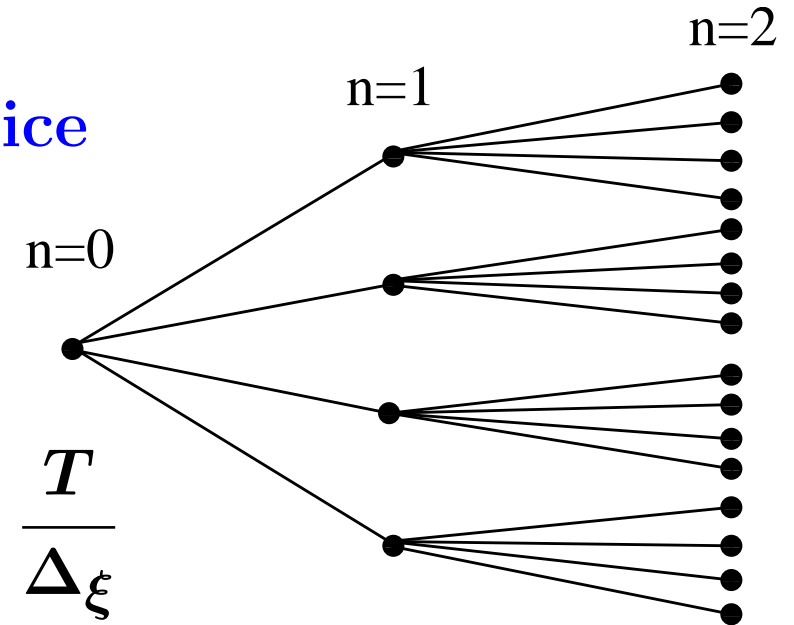
$K$ : Coordination number  $K \sim \frac{\Delta_\xi}{\Delta_\xi^{(3)}} \sim \frac{T}{\Delta_\xi}$

$\Delta$ : Level spacing of  $n = 1$  states:  $\Delta = \Delta_\xi^{(3)}$

$V$ : hopping matrix element:  
interaction matrix element  $V \sim \alpha \Delta_\xi$

→ transition temperature

$$T_c = \frac{\Delta_\xi}{\alpha \ln \alpha^{-1}}$$



Basko, Aleiner, Altshuler '06: same result from self-consistent Born approx.

## Summary

- Anderson localization: basic properties, field theory
- Wave function multifractality
- Symmetries of disordered systems
- Manifestations of topology in localization theory
- Influence of electron-electron interaction

## Collaboration:

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